

The Legislature of Rockland County



MICHAEL M. GRANT
LEGISLATOR – DISTRICT 2
CHAIR, BUDGET & FINANCE COMMITTEE

AGENDA
BUDGET & FINANCE COMMITTEE
TUESDAY, MAY 27, 2014
7:15 PM

ROLL CALL

ADOPTION OF MINUTES, MEETING OF MAY 13, 2014

I. BONDS/PUBLIC WORKS MATTER:

1PPW) REF. #5906 -APPROVING AN INTERMUNICIPAL AGREEMENT IN EXCESS OF \$100,000 BETWEEN THE COUNTY OF ROCKLAND AND THE ROCKLAND COUNTY DRAINAGE AGENCY FOR REIMBURSEMENT OF COSTS ASSOCIATED WITH THE PASCACK BROOK BYPASS CULVERT CONSTRUCTION - SANITARY SEWER UPGRADE FOR A TOTAL CONTRACT SUM NOT TO EXCEED \$734,726.20 AND AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE (ROCKLAND COUNTY SEWER DISTRICT NO. 1) (\$734,726.20)
(DIANNE T. PHILIPPS, EXECUTIVE DIRECTOR, SEWER DISTRICT)
DL#2014-01891

(SUBJECT TO APPROVAL OF PPW)

2PPW) REF. #5906 -APPROVING AUTHORIZATION OF TRANSFER OF FUNDS FOR REIMBURSEMENT TO ROCKLAND COUNTY DRAINAGE AGENCY FOR PASCACK BROOK BYPASS CULVERT SANITARY SEWER UPGRADE COSTS IN THE AMOUNT OF \$734,726.20 (ROCKLAND COUNTY SEWER DISTRICT NO. 1) (\$734,726.20)
(DIANNE T. PHILIPPS, EXECUTIVE DIRECTOR, SEWER DISTRICT)
DL#2014-01783 REV. 5/20/14

(SUBJECT TO APPROVAL OF PPW)

3PPW) REF. #6803 -AUTHORIZING THE CLERK TO THE LEGISLATURE TO ADVERTISE FOR BIDS FOR THE 2014 RESURFACING PROGRAM FOR COUNTY ROADS NEW YORK STATE DEPARTMENT OF TRANSPORTATION CONSOLIDATED LOCAL STREET AND HIGHWAY IMPROVEMENT PROGRAM(CHIPS) NO COUNTY TAX DOLLARS (\$2,600,000) (DEPARTMENT OF HIGHWAYS) (CHARLES VEZZETTI, SUPERINTENDENT HIGHWAY) DL#2014-01866

(SUBJECT TO APPROVAL OF PPW)

4PPW) REF.#9252 -BOND RESOLUTION DATED _____, 2014
BOND RESOLUTION OF THE COUNTY OF ROCKLAND, NEW YORK, AUTHORIZING FINANCING FOR COSTS OF CONSTRUCTION OF A REDUNDANT BACKUP PUBLIC SAFETY RADIO COMMUNICATIONS FACILITY TO BE LOCATED ON THE NEW CITY GOVERNMENT CENTER CAMPUS (CAPITAL PROJECT NO. 1479), STATING THE ESTIMATED TOTAL MAXIMUM COST THEREOF IS \$6,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$6,000,000 BONDS OF THE COUNTY TO PAY THE COST THEREOF (GERALD C. WALSH, COMMISSIONER - DGS FACILITIES) LM#2014-01791

(SUBJECT TO APPROVAL OF PPW)

2. GRANTS/DONATIONS: NONE

3. BUDGET ITEMS:

3A) REF. #9481 - YEAR-END ADJUSTMENTS TO THE 2013 BUDGET TO CORRECT NEGATIVE DEPARTMENTS (DEPARTMENT OF BUDGET & FINANCE) (STEPHEN DEGROAT, COMMISSIONER FINANCE) DL#2014-01888

3B) REF. #9495 - AMENDING BOND RESOLUTION DATED _____, 2014
BOND RESOLUTION OF THE COUNTY OF ROCKLAND, NEW YORK, AMENDING THE BOND RESOLUTION ADOPTED FEBRUARY 4, 2014 IN RELATION TO FINANCING THE COST OF LIQUIDATING CURRENT DEFICITS IN THE COUNTY'S GENERAL FUND AS OF DECEMBER 31, 2010, DECEMBER 31, 2011 AND DECEMBER 31, 2012, AT THE ESTIMATED MAXIMUM COST OF \$125,607,865. (STEPHEN DEGROAT, COMMISSIONER OF FINANCE) LM#2014-01298
(DEFERRED AT THE APRIL 29TH AND MAY 13TH B&F MEETINGS)

4. AUTHORIZATIONS: NONE

5. CONTRACTS/AGREEMENTS:

5A) REF. #4249 - APPROVING AMENDMENT TO OCTOBER 1, 2013 THROUGH JANUARY 31, 2014 LEASE AGREEMENT COSTING \$82,000 (NCTD) BETWEEN DEPAULIS ENTERPRISES III, LTD AND THE COUNTY OF ROCKLAND FOR LEASING OF OLD LOCATION CONNECTED TO THE DISTRICT ATTORNEY'S DRUG TASK FORCE BY EXTENDING LEASE PERIOD FROM FEBRUARY 1, 2013 TO APRIL 30, 2014 AT AN ADDITIONAL COST OF \$17,800 (NCTD) MAKING TOTAL OCTOBER 1, 2013 THROUGH APRIL 30, 2014 LEASE COST \$99,800 (NCTD) AND AUTHORIZING THE EXECUTION OF THIS AMENDED AGREEMENT BY THE COUNTY EXECUTIVE (DISTRICT ATTORNEY'S OFFICE) (\$99,800)
(THOMAS ZUGIBE, DISTRICT ATTORNEY)
DL#2014-01355

5B) REF. #9209 - APPROVING A SECOND AMENDMENT AND EXTENSION TO AN AGREEMENT IN EXCESS OF \$100,000 WITH NTS DATA SERVICES, LLC TO PURCHASE A SCANFLOW SOFTWARE MODULE IN THE ADDITIONAL AMOUNT OF \$45,000.00 AND EXTENDING THE TERM FROM DECEMBER 31, 2015 TO DECEMBER 31, 2018 FOR A TOTAL CONTRACT SUM NOT TO EXCEED \$539,126.66 TO PROVIDE CONTINUED SUPPORT AND MAINTENANCE FOR THE TEAM VOTER REGISTRATION, SIGN-IT! SIGNATURE DIGITIZATION, IMAGE-IT FULL DOCUMENT IMAGING, THE ELECTION MANAGEMENT COMPONENTS OF E-SUITE (PETITION, CANDIDATE AND ELECTED OFFICIAL MANAGEMENT) AND IMS INTERFACE MESSAGING SYSTEMS FOR THE PERIOD FROM JANUARY 1, 2008 THROUGH DECEMBER 31, 2018 AND AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE (BOARD OF ELECTIONS) (\$539,126.66)
(KRISTEN ZEBROWSKI/LOUIS BABCOCK, COMMISSIONERS, BOARD OF ELECTIONS)
DL#2014-01807 REV. 5/20/14

(SUBJECT TO APPROVAL OF MULTI-SERVICES)

5C) REF. #1591 - APPROVING AMENDMENT TO AGREEMENT WITH HARRIS BEACH, PLLC IN EXCESS OF \$100,000 FOR BOND COUNSEL SERVICES UNDER RFP-RC-2012-030 FOR AN ADDITIONAL AMOUNT OF \$170,000 FOR A TOTAL AMOUNT NOT TO EXCEED \$340,000 FROM JANUARY 1, 2014 THROUGH DECEMBER 31, 2014 WITH AN OPTION TO RENEW FOR THREE (3) ADDITIONAL ONE YEAR TERMS AND AUTHORIZING EXECUTION OF THE AGREEMENT BY THE COUNTY EXECUTIVE (DEPARTMENT OF FINANCE) (\$340,000)
(STEPHEN DEGROAT, COMMISSIONER FINANCE)
DL#2014-01746

6. ALLOCATION/RECLASSIFICATION OF POSITIONS:

6A) REF. #7944 - CONFIRMING THE APPOINTMENT OF ROBERT E. BERGMAN, JR. OF PEARL RIVER, NY AS COUNTY AUDITOR
(EDWIN J. DAY, COUNTY EXECUTIVE)
DL#2014-02031

6B) REF. #7311 - MOTION TO RECONSIDER:
CONFIRMING THE REAPPOINTMENT OF KAREN ANN CASSA, HAVERSTRAW, NEW YORK AS THE ROCKLAND COUNTY DIRECTOR OF INSURANCE AND RISK MANAGEMENT
(HON. EDWIN J. DAY, COUNTY EXECUTIVE)
DL#2014- 01341

7. BIDS: NONE

8. TRANSFER OF FUNDS/OTHERS: NONE

9. TAX ITEMS: NONE

10. PUBLIC HEARINGS: NONE

11. LOCAL LAWS/HOME RULES: NONE

12. PRESENTATIONS/DISCUSSIONS:

12A) REF. #8148 - ROCKLAND CENTER FOR THE ARTS UPDATE ON GRANTS SECURED
(JULIE RAMOS AND DALY FLANAGAN)
(HON. HARRIET D. CORNELL, LEGISLATURE)

12B) REF. #7650 - "BAN THE BOX" (QUESTION) ON EMPLOYMENT APPLICATIONS
(HON. FRANK SPARACO, JAY HOOD, JR., LEGISLATURE)

13. MISCELLANEOUS:

13A) REF. #9126 - AMENDING THE INVESTMENT POLICY FOR THE COUNTY OF ROCKLAND FOR THE YEAR 2014 TO INCLUDE THE ADDITION OF GREATER HUDSON BANK AND ADOPTING DEPARTMENT OF FINANCE GUIDELINES (DEPARTMENT OF BUDGET AND FINANCE)
(STEPHEN DEGROAT, COMMISSIONER FINANCE)
DL#2014-01997 REV. 5/21/14

14. RECEIVE & FILE: NONE

15. CORRESPONDENCE – REVIEW AND DISCUSSION: NONE

“The Rockland County Legislature is committed to full compliance with the Americans with Disabilities Act. To that end, the Legislature is committed to creating an accessible environment for all. To request accommodations that you may require, please call Damaris Alvarez at 845-638-5248 (845-708-7899 for TTY/TDD). Please request these accommodations three (3) days in advance so that we can seek to meet your needs.”

NEW

WHEREAS, The Executive Director of the District recommends to the County Executive and the Legislature of Rockland County that the County enter into an intermunicipal agreement with the RCDA for reimbursement of costs associated with the Pascack Brook Bypass Culvert Construction - Sanitation Sewer Upgrade for a total contract sum not to exceed \$734,726.20; and

WHEREAS, Sufficient funds for this agreement exist in Sewer District No. 1 Operating Budget, Account G-SWR-8120-E4580; and

WHEREAS, By Resolution No. 18 of 2014 the Board of Sewer Commissioners of the District authorized a municipal agreement with Rockland County Drainage Agency; and

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve "execution of all contracts in excess of \$100,000 entered into by the County," and

WHEREAS, The _____ Committee of the Legislature has met, considered and approved this resolution, now, therefore, be it

RESOLVED, That the Legislature of Rockland County hereby approve the intermunicipal agreement between the County of Rockland on behalf of the District with the RCDA for reimbursement of costs associated with the Pascack Brook Bypass Culvert Construction - Sanitation Sewer Upgrade for a total amount not to exceed \$734,726.20; and be it further

RESOLVED, That sufficient funds for this agreement exist in Sewer District No. 1 Operating Budget, Account G-SWR-8120-E4580.

TM:lo
2014-01891
5/13/14

DRAFT

2 PAW

Introduced by:

Referral No 5906

RESOLUTION NO. OF 2014
APPROVING AUTHORIZATION OF TRANSFER OF FUNDS
FOR REIMBURSEMENT TO
ROCKLAND COUNTY DRAINAGE AGENCY
FOR PASCACK BROOK BYPASS CULVERT
SANITARY SEWER UPGRADE COSTS
IN THE AMOUNT OF \$734,726.20
[ROCKLAND COUNTY SEWER DISTRICT NO. 1]
(\$734,726.20)

WHEREAS, The Rockland County Sewer District No. 1 (hereinafter referred to as R.C.S.D. No. 1) requests that the County Executive and the Legislature of Rockland County authorize the transfer of \$734,726.20 to the Rockland County Drainage Agency (hereinafter referred to as R.C.D.A.) from R.C.S.D. No. 1 Operating Budget, account G-SWR-8120-E4580, for Pascack Brook Bypass Culvert Sanitary Sewer Upgrade costs; and

WHEREAS, The Project required that portions of the Monsey and Hillcrest interceptors be relocated; and

WHEREAS, It is cost effective to upgrade the size of the sewer lines at this time for projected increase in flows; and

WHEREAS, R.C.S.D. No.1 requested that the R.C.D.A. conduct a build out analysis and size the relocated sewer lines accordingly to handle future flows; and

WHEREAS, The amount in undesignated fund balance is currently estimated to be \$22,241,290.00; and

WHEREAS, The R.C.S.D. No. 1 proposes to use funds from Undesignated Fund Balance, and requests a transfer in the amount of \$734,726.20 from R.C.S.D. No. 1 Undesignated Fund Balance to R.C.S.D No. 1 Operating Budget, account G-SWR-8120-E4580, to reimburse the R.C.D.A. for the Pascack Brook Bypass Culvert Sanitary Sewer Upgrade costs; and

WHEREAS, The Board of Sewer Commissioners, on April 24, 2014 by Sewer Resolution No. 19 of 2014, is requesting the Legislature of Rockland County approve this request; and

WHEREAS, The Committees of the Legislature have met, considered and approved this resolution; now therefore be it

DRAFT

RESOLVED, That the Legislature of Rockland County hereby authorizes the transfer of funds in the amount of \$734,726.20 from the R.C.S.D. No. 1 Undesignated Fund Balance to R.C.S.D No. 1 Operating Budget, account G-SWR-8120-E4580, to reimburse the R.C.D.A. for Pascack Brook Bypass Culvert Sanitary Sewer Upgrade costs; and be it further

RESOLVED, That the Commissioner of Finance is hereby authorized to increase the following accounts in the amounts indicated:

OPERATING BUDGET

Increase Approp. Acct.:

G-SWR-8120-E4580	Sewer Dept. Trunk Repairs	\$734,727
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Increase Approp. Fund Bal.:

G-SWR-9952-R5990	Undesignated	\$734,727
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TM:lo
2014-01783
5/14/14
rev 5/20/14

DRAFT

RESOLVED, Sufficient funding for this project has been authorized in the 2014 Adopted Capital Budget, Capital Project 3401 (Three Year Road Resurfacing Program) and the operating budget of the County Road Fund, D-CRF-5110-4460, CHIPS account; and be it further

RESOLVED, That funds expended from the D-CRF-5110-4460 account will be reimbursed 100% by the New York State Department of Transportation through its CHIPS Program.

LHG:lo
2014-01866
5/13/14



**COUNTY OF ROCKLAND
DEPARTMENT OF HIGHWAYS**

23 New Hempstead Road
New City, New York 10956
(845) 638-5060
Fax. (845) 638-5037
Email: highway@co.rockland.ny.us

C. SCOTT VANDERHOEF
County Executive

CHARLES H. VEZZETTI
Superintendent of Highway

To: Charles H. Vezzetti
Superintendent of Highways

Date: April 24, 2014

From: Andrew M. Connors PE

Re: 2014 Proposed Resurfacing list

Bellow lists the roads that will receive a two-inch overlay as part of our resurfacing program.

Route	Road Name	From	To	Square Yards
90	Short Clove Road	Town Border	NYS Rte 9W	3070
20	Veterans Mem. Drive	Old Middletown Road	Blue Hill Road So.	39990
33	Central Avenue	Route 304	Middletown Road	18834
80	Lake Road	Route 303	NYS Rte 9W	6355
74	Viola Road	NYS Rte 306	Union Road	18331
74	Viola Road	NYS Rte 306	Concord St.	6155
94	Railroad Avenue	NYS Rte 9W	Central Hwy	12538
23	Sickletown Road	Gilbert Ave	Sickletown Bridge	4214
30	Washington Ave	NY/NJ Border	NYS Rte 304	14970
41	Red School House Road	NY/NJ Border	NYS Rte 45	25860
52	Perlman Drive	Pascack Road	New Clarkstown Road	1486
80	Lake Road	Kings Hwy	NYS Rte 303	14649

4ppw
Ref # 9252

EXTRACT OF MINUTES

Meeting of the County Legislature of
the County of Rockland, New York

_____, 2014

* * *

A regular/special meeting of the County Legislature of the County of Rockland,
New York, was held at the Legislative Chambers, in New City, New York, in said County, on
_____, 2014, at _____ o'clock P.M. (Prevailing Time).

The following Legislators were present:

There were absent:

Also present: Laurence O. Toole, Clerk to the Legislature

* * *

Legislator _____ offered the following resolution and
moved its adoption:

RESOLUTION NO. _____ OF 2014

BOND RESOLUTION DATED _____, 2014

BOND RESOLUTION OF THE COUNTY OF ROCKLAND, NEW YORK, AUTHORIZING FINANCING FOR COSTS OF CONSTRUCTION OF A REDUNDANT BACKUP PUBLIC SAFETY RADIO COMMUNICATIONS FACILITY TO BE LOCATED ON THE NEW CITY GOVERNMENT CENTER CAMPUS (CAPITAL PROJECT NO. 1479), STATING THE ESTIMATED TOTAL MAXIMUM COST THEREOF IS \$6,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$6,000,000 BONDS OF THE COUNTY TO PAY THE COST THEREOF.

(Adopted) _____, 2014

RESOLVED BY THE COUNTY LEGISLATURE OF THE COUNTY OF ROCKLAND, NEW YORK (by the affirmative vote of not less than two thirds of the voting strength of said Legislature), AS FOLLOWS:

Section 1. The County of Rockland, New York (herein called "County"), is hereby authorized to continue Capital Project No. 1479 for the Department of General Services, Facilities Management, consisting of the construction of a Redundant Backup Public Safety Radio Communications Facility to be located on the New City Government Center Campus, all as more particularly described in the County's 2014 Capital Budget, as amended. The estimated maximum cost of said object or purposes, including preliminary costs and costs incidental thereto and to the financing thereof, is \$6,000,000, and said amount is hereby appropriated therefor. The plan of financing includes the issuance of \$6,000,000 bonds of the County and any bond anticipation notes issued in anticipation of the sale of such bonds to finance said appropriation and the levy and collection of taxes on all the taxable real property in the County to pay the principal of and interest on said bonds and notes.

Section 2. Bonds of the County in the principal amount of \$6,000,000 are hereby authorized to be issued pursuant to the provisions of the Local Finance Law, constituting Chapter

33-a of the Consolidated Laws of the State of New York (herein called "Law"), to finance said appropriation.

Section 3. The period of probable usefulness applicable to the object or purposes for which the bonds authorized by this resolution is to be issued within the limitations of Section 11.00 a. 90 of the Law, is ten (10) years.

Section 4. The County intends to finance, and the Commissioner of Finance of the County is hereby authorized to advance such amounts as are necessary to pay the costs of the object or purposes described in Section 1 hereof prior to the issuance of the bonds or bond anticipation notes authorized out of any available funds of the County, on an interim basis, which amounts are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Resolution, in the total amount of bonds herein authorized. This Resolution is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 5. Subject to the provisions of this Resolution and of the Law, and pursuant to the provisions of §21.00 relative to the authorization of the issuance of bonds with substantially level or declining annual debt service, §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Legislature relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of

said notes, and relative to executing agreements for credit enhancement, are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Resolution and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by Section 52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Rockland, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

(a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or

(b) the provisions of law which should be complied with at the date of the publication of this Resolution, or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or

(c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. The Clerk to the Rockland County Legislature is hereby directed to publish the foregoing bond resolution, in summary, in the "ROCKLAND JOURNAL NEWS",

West Nyack, New York and the "ROCKLAND COUNTY TIMES", published by Citizen Publishing Corp., Nanuet, New York, each of said newspapers having been designated the official newspapers of the County for such publication, together with a notice in substantially the form prescribed in Section 81.00 of the Local Finance Law of the State of New York.

Section 9. This Resolution shall take effect in accordance with Section C2.02 of the Rockland County Charter.

* * *

The adoption of the foregoing resolution was seconded by Legislator

_____ and duly put to a vote on roll call, which resulted as follows:

AYES:

NOES:

The resolution was declared adopted.

* * *

CERTIFICATE

I, Laurence O. Toole, Clerk to the Rockland County Legislature, State of New York, HEREBY CERTIFY that the foregoing annexed extract from the minutes of a meeting of the Rockland County Legislature duly called and held on _____, 2014, has been compared by me with the original minutes as officially recorded in my office in the Minute Book of said County Legislature and is a true, complete and correct copy thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County this ____ day of _____, 2014.

(SEAL)

Clerk to the County Legislature

NOTICE

The bond resolution, a summary of which is published herewith, has been adopted by the County Legislature on the ___ day of _____, 2014, and the validity of the obligations authorized by such resolution may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Rockland, New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Laurence O. Toole
Clerk to the Legislature

RESOLUTION NO. _____ OF 2014

BOND RESOLUTION DATED _____, 2014

BOND RESOLUTION OF THE COUNTY OF ROCKLAND, NEW YORK, AUTHORIZING FINANCING FOR COSTS OF CONSTRUCTION OF A REDUNDANT BACKUP PUBLIC SAFETY RADIO COMMUNICATIONS FACILITY TO BE LOCATED ON THE NEW CITY GOVERNMENT CENTER CAMPUS (CAPITAL PROJECT NO. 1479), STATING THE ESTIMATED TOTAL MAXIMUM COST THEREOF IS \$6,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$6,000,000 BONDS OF THE COUNTY TO PAY THE COST THEREOF.

object or purpose: to finance the cost of construction of a Redundant Backup Public Safety Radio Communications Facility to be located on the New City Government Center Campus, all as more particularly described in the County's 2014 Capital Budget, as amended.

period of probable usefulness: ten (10) years

amount of obligations to be issued: \$6,000,000

A complete copy of the Bond Resolution summarized above shall be available for public inspection during normal business hours at the office of the Clerk to the Rockland County Legislature, in New City, New York.

Dated: _____, 2014
New City, New York

**COUNTY OF ROCKLAND
FY 2013**

GENERAL (A) FUND

Increase Approp. Acct. (Credit):

A DOH 4043	Ryan White Part B	E1940	Unemployment	100
A DOH 4063	Health Care Eff & Afford Law	E1940	Unemployment	100
A DOH 4014	Chronic Disease	E1920	Retirement	300
A AUD 1320	County Auditor	E7250	General Services Allocation	4,500
A AUD 1320	County Auditor	E7100	Central Services Allocation	5,500
A UNC 1031	COBRA	E1910	Health Insurance	27,000
A UNC 1989	Municipal Assistance	E4920	Reimburse Municipalities	122,000
A DMH 4300	Department of Mental Health	E5060	Program Costs	(Court Ordered) 197,000
A DSS 6109	Family Assistance	E5060	Program Costs	422,000
A FIN 9730	Bond Anticipation Notes	E6000	Principal	967,000
A FIN 1961	Refunds of Tax Judgements	E5030	Tax Related Costs	(Tax Certs) 1,301,000
		Total		\$ 3,046,500

Decrease Approp. Acct. (Debit):

A EXE 1237	Office of Empl Rights & Training	E5060	Program Costs	10,000
A DMH 4356	Lexington Center for Recovery	E5010	Contract Agency	139,500
A DMH 4356	Lexington Center for Recovery	E5390	Local Share Match	58,000
A DOH 4010	Department of Health	E4090	Fees for Services, Non-Employee	96,000
A DOH 4046	Physically Handicapped Children	E4090	Fees for Services, Non-Employee	26,000
A DSS 6055	Purchase of Svcs Day Care Pg	E5060	Program Costs	1,723,000
A FIN 9710	Serial Bonds	E9010	Interfund Transfers	833,000
A FIN 9770	Revenue Anticipation Notes	E6010	Interest	102,000
A FIN 9760	Tax Anticipation Notes	E6010	Interest	32,000
A UNC 1032	Surviving Dependents	E1910	Health Insurance	27,000
		Total		\$ 3,046,500

SEWER (G) FUND

Increase Approp. Acct. (Credit):

G SWR 9715	Serial Bonds	E9010	Interfund Transfers	244,000
		Total		\$ 244,000

Decrease Approp. Acct. (Debit):

G SWR 9732	Bond Anticipation Notes	E6000	Principal	244,000
		Total		\$ 244,000

UNEMPLOYMENT (Q) FUND

Increase Approp. Acct. (Credit):

Q PER 9050	Unemployment Fund	E8010	Employee Benefits	97,000
		Total		\$ 97,000

Increase Est. Rev. Acct. (Debit)

Q PER 9050	Unemployment Fund	R2809	Interfund Revenues	97,000
		Total		\$ 97,000

WORKERS COMPENSATION (S) FUNDIncrease Approp. Acct. (Credit):

S	WCC	1710	Workers Compensation Consortium	E5961	Workers Comp. Payments	347,000
					Total	<u>\$ 347,000</u>

Increase Est. Rev. Acct. (Debit)

S	WCC	1710	Workers Compensation Consortium	R2221	Workers Comp Consortium-County	265,000
S	WCC	1710	Workers Compensation Consortium	R2222	Workers Comp Consortium-Others	70,000
S	WCC	1710	Workers Compensation Consortium	R2224	Workers Comp Consortium-RCC	12,000
					Total	<u>\$ 347,000</u>

DEBT SERVICE (V) FUNDIncrease Approp. Acct. (Credit):

V	DSV	9901	Contribution to General (A) Fund	E9010	Interfund Transfers	70,000
					Total	<u>\$ 70,000</u>

Increase Est. Rev. Acct. (Debit)

V	DSV	9950	Unallocated Debt Service	R2770	Unclassified Revenue	70,000
					Total	<u>\$ 70,000</u>

3B
Ref # 9495

RESOLUTION NO. _____ OF 2014

AMENDING BOND RESOLUTION DATED _____, 2014

BOND RESOLUTION OF THE COUNTY OF ROCKLAND, NEW YORK, AMENDING THE BOND RESOLUTION ADOPTED FEBRUARY 4, 2014 IN RELATION TO FINANCING THE COST OF LIQUIDATING CURRENT DEFICITS IN THE COUNTY'S GENERAL FUND AS OF DECEMBER 31, 2010, DECEMBER 31, 2011 AND DECEMBER 31, 2012, AT THE ESTIMATED MAXIMUM COST OF \$125,607,865.

(Adopted) _____, 2014

Recitals

WHEREAS, pursuant Chapter 468 of the New York Laws of 2013 ("Chapter 468") and New York Local Finance Law Section 10.10 ("Section 10.10") the New York State Comptroller has certified the amount of the County's general fund accumulated deficit as of December 31, 2012 to be \$125,607,865; and

WHEREAS, pursuant to Chapter 468, Section 10.10, and Bond Resolution No. 37 of 2014 duly adopted on February 4, 2014 ("Resolution No. 37"), the County Legislature has heretofore duly authorized the issuance of \$96,000,000 principal amount of bonds to finance the cost of liquidation of said deficit, at the estimated maximum cost of \$96,000,000, which amount was appropriated therefore pursuant to Resolution No. 37; and

WHEREAS, on March 13, 2014 the County issued its \$96,000,000 principal amount of General Obligation (Serial) Bonds, 2014 Series A pursuant to Chapter 468, Section 10.10, and Resolution No. 37 and received net bond proceeds in the amount of \$106,903,912.33,

which included the principal amount of the bond issue plus original issuance premium less the bond insurance premium and underwriters' discount; and

WHEREAS, it is now appropriate to amend Resolution No. 37 in order to increase the estimated maximum cost of said deficit to \$125,607,865 in accordance with the New York State Comptroller's certification and to increase the appropriation for liquidation of said deficit to \$106,903,912.33 so that all of the net bond proceeds may be applied for such purpose;

Now, therefore, be it

RESOLVED BY THE COUNTY LEGISLATURE OF THE COUNTY OF ROCKLAND, NEW YORK (by the favorable vote of not less than two-thirds of all members of said Legislature) AS FOLLOWS:

Section (A). The bond resolution of said County duly adopted by the County Legislature on February 4, 2014, entitled:

"RESOLUTION NO. 37 of 2014

BOND RESOLUTION DATED FEBRUARY 4, 2014

BOND RESOLUTION OF THE COUNTY OF ROCKLAND, NEW YORK, AUTHORIZING

FINANCING FOR THE COST OF LIQUIDATING CURRENT DEFICITS IN THE

COUNTY'S GENERAL FUND AS OF DECEMBER 31, 2010, DECEMBER 31, 2011 AND

DECEMBER 31, 2012, STATING THE ESTIMATED MAXIMUM COST THEREOF IS

\$96,000,000, APPROPRIATING SAID AMOUNT THEREFOR, AND AUTHORIZING THE

ISSUANCE OF \$96,000,000 BONDS OF THE COUNTY TO PAY THE COST THEREOF."

is hereby amended to read as follows:

BOND RESOLUTION OF THE COUNTY OF ROCKLAND, NEW YORK, AUTHORIZING FINANCING FOR THE COST OF LIQUIDATING CURRENT DEFICITS IN THE COUNTY'S GENERAL FUND AS OF DECEMBER 31, 2010, DECEMBER 31, 2011 AND DECEMBER 31, 2012, STATING THE ESTIMATED MAXIMUM COST THEREOF IS \$125,607,865, APPROPRIATING \$106,903,912.33 THEREFOR, AND AUTHORIZING THE ISSUANCE OF \$96,000,000 BONDS OF THE COUNTY TO PAY THE COST THEREOF.

(Adopted) _____, 2014

RESOLVED BY THE COUNTY LEGISLATURE OF THE COUNTY OF ROCKLAND, NEW YORK (by the affirmative vote of not less than two thirds of the voting strength of said Legislature), AS FOLLOWS:

Section 1. The County of Rockland, New York (herein called "County"), is hereby authorized to finance the cost of liquidation of current deficits in the County's general fund as of December 31, 2010, December 31, 2011 and December 31, 2012. The estimated maximum cost of said specific object or purpose, including preliminary costs and costs incidental thereto and to the financing thereof, is \$125,607,865, and \$106,903,912.33 is hereby appropriated therefor. The plan of financing includes the issuance of \$96,000,000 bonds of the County and any bond anticipation notes issued in anticipation of the sale of such bonds to finance said appropriation and the levy and collection of taxes on all the taxable real property in the County to pay the principal of and interest on said bonds and notes.

Section 2. Bonds of the County in the principal amount of not to exceed \$96,000,000 are hereby authorized to be issued pursuant to Chapter 468 of the 2013 Laws of New York ("Chapter 468") and the provisions of the Local Finance Law, constituting Chapter 33-a of the Consolidated Laws of the State of New York (herein called "Law"), to finance said appropriation. In accordance with Chapter 468; the authorization to issue such bonds is subject

to the provisions of section 10.10 of the Law and such bonds must be issued on or before September 30, 2014.

Section 3. The period of probable usefulness applicable to the specific object or purpose for which the bonds authorized by this resolution is to be issued within the limitations of Chapter 468 and Section 10.10 h. of the Law, is ten (10) years.

Section 4. The County intends to finance, and the Commissioner of Finance of the County is hereby authorized to advance such amounts as are necessary to pay the costs of the specific object or purpose described in Section 1 hereof prior to the issuance of the bonds or bond anticipation notes authorized out of any available funds of the County, on an interim basis, which amounts are reasonably expected to be reimbursed with the proceeds of debt to be incurred by the County, pursuant to this Resolution, in the total amount of bonds herein authorized. This Resolution is a declaration of official intent adopted pursuant to the requirements of Treasury Regulation Section 1.150-2.

Section 5. Subject to the provisions of this Resolution and of the Law, and pursuant to the provisions of §21.00 relative to the authorization of the issuance of bonds with substantially level or declining annual debt service, §30.00 relative to the authorization of the issuance of bond anticipation notes or the renewals thereof, and of §§50.00, 56.00 to 60.00 and 168.00 of said Law, the powers and duties of the County Legislature relative to authorizing the issuance of any notes in anticipation of the sale of the bonds herein authorized, or the renewals thereof, relative to providing for substantially level or declining annual debt service, relative to prescribing the terms, form and contents and as to the sale and issuance of the respective amounts of bonds herein authorized, and of any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and relative to executing agreements for credit enhancement,

are hereby delegated to the Commissioner of Finance of the County, as the chief fiscal officer of the County.

Section 6. Each of the bonds authorized by this Resolution and any bond anticipation notes issued in anticipation of the sale thereof shall contain the recital of validity prescribed by Section 52.00 of said Local Finance Law and said bonds and any notes issued in anticipation of said bonds shall be general obligations of the County of Rockland, payable as to both principal and interest by general tax upon all the taxable real property within the County. The faith and credit of the County are hereby irrevocably pledged to the punctual payment of the principal of and interest on said bonds and any notes issued in anticipation of the sale of said bonds or the renewals of said notes, and provision shall be made annually in the budgets of the County by appropriation for (a) the amortization and redemption of the notes and bonds to mature in such year and (b) the payment of interest to be due and payable in such year.

Section 7. The validity of the bonds authorized by this Resolution, and of any notes issued in anticipation of the sale of said bonds, may be contested only if:

- (a) such obligations are authorized for an object or purpose for which the County is not authorized to expend money, or
- (b) the provisions of law which should be complied with at the date of the publication of this Resolution, or a summary hereof, are not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the date of such publication, or
- (c) such obligations are authorized in violation of the provisions of the Constitution.

Section 8. The Clerk to the Rockland County Legislature is hereby directed to publish the foregoing bond resolution, in summary, in the "ROCKLAND JOURNAL NEWS",

West Nyack, New York and the "ROCKLAND COUNTY TIMES", published by Citizen Publishing Corp., Nanuet, New York, each of said newspapers having been designated the official newspapers of the County for such publication, together with a notice in substantially the form prescribed in Section 81.00 of the Local Finance Law of the State of New York.

Section 9. This Resolution shall take effect in accordance with Section C2.02 of the Rockland County Charter.

* * *

Section (B). The amendment of the bond resolution set forth in Section A of this resolution shall in no way affect the validity of the liabilities incurred, obligations issued, or action taken pursuant to said bond resolution, and all such liabilities incurred, obligations issued, or action taken shall be deemed to have been incurred, issued or taken pursuant to said bond resolution, as so amended.

Section (C). The Clerk to the Rockland County Legislature is hereby directed to publish the foregoing amending bond resolution, in full, in the "ROCKLAND JOURNAL NEWS", West Nyack, New York and the "ROCKLAND COUNTY TIMES", published by Citizen Publishing Corp., Nanuet, New York, each of said newspapers having been designated the official newspapers of the County for such publication, together with a notice in substantially the form prescribed in Section 81.00 of the Local Finance Law of the State of New York.

Section (D). This resolution shall take effect immediately.

* * *

The adoption of the foregoing resolution was seconded by Legislator _____
and duly put to a vote on roll call, which resulted as follows:

AYES:

NOES:

The resolution was declared adopted.

* * *

3B

CERTIFICATE

I, Laurence O. Toole, Clerk to the Rockland County Legislature, State of New York, HEREBY CERTIFY that the foregoing annexed extract from the minutes of a meeting of the Rockland County Legislature duly called and held on _____, 2014, has been compared by me with the original minutes as officially recorded in my office in the Minute Book of said County Legislature and is a true, complete and correct copy thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County this ____ day of _____, 2014.

(SEAL)

Clerk to the County Legislature

3B

NOTICE

The bond resolution which is published herewith has been adopted by the County Legislature on the 4th day of February, 2014 and amended on _____, 2014, and the validity of the obligations authorized by such resolution may be hereafter contested only if such obligations were authorized for an object or purpose for which the County of Rockland, New York, is not authorized to expend money or if the provisions of law which should have been complied with as of the date of publication of this Notice were not substantially complied with, and an action, suit or proceeding contesting such validity is commenced within twenty days after the publication of this Notice, or such obligations were authorized in violation of the provisions of the Constitution.

Laurence O. Toole
Clerk to the Legislature

WHEREAS, No County tax dollars (NCTD) are required for said lease amendment since existing non-federal forfeiture appropriations within the 2014 Operating Budget of the District Attorney (Dept. FA03, line E4500) will cover said additional cost; and

WHEREAS, The _____ Committee of the Legislature has met, considered and _____ approved this resolution; now, therefore be it

RESOLVED, That the Legislature of Rockland County hereby approves an amendment of an October 1, 2013 through January 31, 2014 lease agreement costing \$82,000 between DePaulis Enterprises III, Ltd., 39 Murray Drive, Chester, New York 10918, for leasing of old location connected to the District Attorney's Drug Task Force, by extending period from February 1, 2014 to April 30, 2014, at an additional cost of \$17,800, making total October 1, 2013 through April 30, 2014 lease cost \$99,800, and authorizes the execution of this amended agreement by the County Executive, subject to the approval of the County Attorney; and be it further

RESOLVED, That no County tax dollars (NCTD) are required for said lease amendment since existing non-federal forfeiture appropriations within the 2014 Operating Budget of the District Attorney (Dept. FA03, line E4500) will cover said additional cost.

MB:lo
2014-01355
4/4/14

DRAFT

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve “execution of all contracts in excess of \$100,000 entered into by the County;” and

WHEREAS, Sufficient funds for the amendment to this agreement exist within the 2014 Operating budget of the Board of Elections, Grant Department BOE-GB02-E5060; and

WHEREAS, The Committees of the Legislature have met, considered and unanimously approved this resolution, now, therefore, be it

RESOLVED, That the Legislature of Rockland County hereby approves a second amendment and extension to the agreement with NTS Data Services, LLC, 1342 Military Road, Niagara Falls, New York 14304, to purchase Scanflow software module at an additional cost of \$45,000.00 and to extend the term of the agreement from December 31, 2015 to December 31, 2018 for a total contract sum not to exceed \$539,126.66 for the period from January 1, 2008 through December 31, 2018, and authorizes its execution by the County Executive, subject to the approval of the County Attorney; and be it further

RESOLVED, That sufficient funds for the amendment to this agreement exist within the 2014 Operating budget of the Board of Elections, Grant Department BOE-GB02-E5060.

TS:lo
2014-01807
5/2/14

DRAFT

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WHEREAS, By Resolution No. 31 of 2014 the County Executive and the Legislature of Rockland County approved an agreement with Harris Beach, PLLC in excess of \$100,000 for Bond Counsel Services under RFP-RC-2012-030 for an additional amount of \$50,000 for a total amount not to exceed of \$170,000 for the period from contract finalization through December 31, 2013 with an option to renew for four additional one year terms; and

WHEREAS, The Director of Purchasing and the Commissioner of Finance request that the Legislature approve an agreement with Harris Beach, PLLC, 455 Hamilton Avenue, Suite 1206, White Plains, New York 10601, for bond counsel services under RFP-RC-2012-030 for an additional amount of \$170,000 for a total amount not to exceed \$340,000 for the period of January 1, 2014 through December 31, 2014, with the option to renew for three (3) additional one year terms; and

WHEREAS, Services of bond counsel are necessary to the Department of Finance and the Department of Law for the issuance of bonds for the financing of capital improvements; and

WHEREAS, With the exception of Revenue Anticipation Notes and Tax Anticipation Notes, the funds required for this agreement are incidental to the cost of the capital investment financed by the bond and require no additional increase in the 2014 Budget of the Department of Finance; and

WHEREAS, Sufficient funds exist in the 2014 Adopted Budget of the Department of Finance and the 2014 Adopted Capital Budget; and

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve "execution of all contracts in excess of \$100,000 entered into by the County;" and

WHEREAS, The _____ Committee of the Legislature has met, considered and approved this resolution; now therefore be it

RESOLVED, That the Legislature of Rockland County hereby approves entering into an agreement with Harris Beach, PLLC, 455 Hamilton Avenue, Suite 1206, White Plains, New York 10601, for bond counsel services under RFP-RC-2012-030 for an additional amount of \$170,000 for a total amount not to exceed \$340,000 for the period of January 1, 2014 through December 31, 2014, with the option to renew for three (3) additional one year terms; and authorizes its execution by the County Executive, subject to the approval of the County Attorney; and be it further

RESOLVED, That sufficient funds exist in the 2014 Adopted Budget of the Department of Finance and the 2014 Adopted Capital Budget.

AFR:lo
2014-01746
5/15/14

Introduced by:

Referral No. 7944

6A
DRAFT

**CONFIRMING APPOINTMENT OF
ROBERT E. BERGMAN, JR.
OF PEARL RIVER, NEW YORK
AS COUNTY AUDITOR**

WHEREAS, County Charter §18.01 provides that the County Executive shall appoint the County Auditor, for the term of office for which the County Executive is elected, subject to confirmation by the Legislature; and

WHEREAS, Pursuant to the County Charter §18.01, the County Auditor shall be appointed on the basis of his or her experience and qualifications for the duties of the office; and

WHEREAS, The County Executive has appointed Robert E. Bergman, Jr., of Pearl River, New York as County Auditor, subject to legislative confirmation; and

WHEREAS, Robert E. Bergman, Jr., has been serving as the Acting County Auditor since January 2014, and formerly served as the County of Rockland's Commissioner of Budget and Finance from February 2003 through December 2006; and

WHEREAS, The Committee of the Legislature has met, considered and approved this resolution; now therefore be it

RESOLVED, That the Legislature of Rockland County hereby confirms the appointment of Robert E. Bergman, Jr., of Pearl River, New York, as County Auditor; and

RESOLVED, That the salary of the County Auditor shall be \$55,000 per annum as set forth in Resolution No. 154 of 2014; and

RESOLVED, That any future salary increases shall be by resolution or local law, as appropriate.

VWJ:lo
2014-02031
5/19/14

DRAFT

RESOLVED, That Greater Hudson Bank will be notified by the Department of Finance that all depositories of County funds shall file with the Rockland County Legislature, to the attention of the Chairman of the Budget and Finance Committee, three copies of the Community Reinvestment Act compliance documents at the same time that said compliance documents are filed with the Federal Government.

AR:lo
2014-01997
5/21/14