

The Legislature of Rockland County



MICHAEL M. GRANT
LEGISLATOR – DISTRICT 2
CHAIR, BUDGET & FINANCE COMMITTEE

AGENDA
BUDGET & FINANCE COMMITTEE
WEDNESDAY, FEBRUARY 13, 2012
7:15 PM

ROLL CALL

ADOPTION OF MINUTES FROM JANUARY 29, 2013

1. BONDS/PUBLIC WORKS MATTER:

1-PPW) REF. #8896 APPROVING AN AMENDMENT TO AGREEMENT IN EXCESS OF \$100,000 WITH USA CENTRAL STATION ALARM CORPORATION TO PROVIDE CENTRAL ALARM SERVICES TO 44 CONTROL FOR AN ADDITIONAL AMOUNT OF \$93,000 FOR A TOTAL CONTRACT SUM NOT TO EXCEED \$236,892.16 FOR THE PERIOD FROM JANUARY 1, 2013 THROUGH DECEMBER 31, 2013 AND AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE (OFFICE OF FIRE AND EMERGENCY SERVICES) (\$236,892.16) (GORDON W. WREN, DIRECTOR FIRE & EMERGENCY) DL#2012-04932

(SUBJECT TO APPROVAL OF PPW)

2. GRANTS/DONATIONS:

2A) REF. #5327 APPROVING PURCHASES IN EXCESS OF \$100,000 IN AN AMOUNT NOT TO EXCEED \$218,700 FROM EAC, INC. FOR MISDEMEANOR DRUG COURT CASE MANAGEMENT AND EVALUATION SERVICES PER THE DEPARTMENT OF JUSTICE ADULT DRUG COURT GRANT FOR THE PERIOD FROM OCTOBER 1, 2012 THROUGH SEPTEMBER 30, 2015 (DISTRICT ATTORNEY'S OFFICE) (\$218,700) (THOMAS ZUGIBE, DISTRICT ATTORNEY) DL#2012-04936

(SUBJECT TO APPROVAL OF PUBLIC SAFETY)

2B) REF. #6704 APPROVING ACCEPTANCE OF CONTINUATION GRANT IN THE AMOUNT OF \$380,000 (NCTD) FROM THE NEW YORK STATE DIVISION OF CRIMINAL JUSTICE SERVICES (NYSDCJS) CRIMES AGAINST REVENUE PROSECUTION (CARP) PROGRAM FOR THE PERIOD JANUARY 1, 2013 THROUGH DECEMBER 31, 2013 AND AUTHORIZING THE EXECUTION OF ALL NECESSARY GRANT DOCUMENTS BY THE COUNTY EXECUTIVE (OFFICE OF THE DISTRICT ATTORNEY) (\$380,000) (THOMAS ZUGIBE, DISTRICT ATTORNEY) DL#2013-00450

(SUBJECT TO APPROVAL OF PUBLIC SAFETY)

2C) REF. #9263 - APPROVING ACCEPTANCE OF CONTINUATION GRANT IN THE AMOUNT OF \$139,899 (NCTD) FROM THE NEW YORK STATE OFFICE OF CHILDREN AND FAMILY SERVICES TO SUPPORT THE SPECIAL VICTIMS CENTER-CHILD ADVOCACY PROGRAM FOR THE PERIOD FEBRUARY 1, 2013 THROUGH JANUARY 31, 2014 AND AUTHORIZING EXECUTION OF ALL GRANT DOCUMENTS BY THE COUNTY EXECUTIVE (OFFICE OF THE DISTRICT ATTORNEY) (\$139,899) (THOMAS ZUGIBE, DISTRICT ATTORNEY) DL#2013-00366

(SUBJECT TO APPROVAL OF PUBLIC SAFETY)

2D) REF. #6760 - APPROVING ACCEPTANCE OF GRANT IN THE AMOUNT OF \$622,356 (NCTD) FROM THE NEW YORK STATE OFFICE OF INDIGENT LEGAL SERVICES TO IMPROVE THE QUALITY OF LEGAL SERVICES PROVIDED TO INDIGENT CLIENTS UNDER ARTICLE 18-B OF THE COUNTY LAW BY ESTABLISHING A SOCIAL WORKER COORDINATOR (PUBLIC DEFENDER) POSITION; ESTABLISHING A RECEPTIONIST ½ POSITION; REIMBURSING THE COUNTY FOR VARIOUS ITEMS, SERVICES AND TRAINING COSTS RELATED TO IMPROVING INDIGENT LEGAL SERVICES FOR A THREE (3) YEAR PERIOD BEGINNING WITH THE DATE OF SAID POSITIONS BEING FILLED; RENAMING PREVIOUSLY ESTABLISHED BUDGET LINE 1169 THE "INDIGENT LEGAL SERVICES PROGRAM" IN ORDER TO IMPLEMENT THIS GRANT; AND AUTHORIZING THE EXECUTION OF ALL NECESSARY GRANT DOCUMENTS BY THE COUNTY EXECUTIVE (PUBLIC DEFENDER) (\$622,356) (JAMES LICATA, PUBLIC DEFENDER) DL#2012-04896

2E) REF. #6704 - APPROVING PURCHASES IN EXCESS OF \$100,000 IN AN AMOUNT NOT TO EXCEED \$233,910 FROM EAC, INC. FOR MENTAL HEALTH ATI CASE MANAGEMENT AND EVALUATION PER THE US DEPARTMENT OF JUSTICE'S JUSTICE AND MENTAL HEALTH COLLABORATION PROGRAM (JMHCP) GRANT FOR THE PERIOD FROM OCTOBER 1, 2012 THROUGH SEPTEMBER 30, 2014 (DISTRICT ATTORNEY'S OFFICE) (\$233,910) (THOMAS ZUGIBE, DISTRICT ATTORNEY) DL#2013-00330

(SUBJECT TO APPROVAL OF PUBLIC SAFETY)

3. BUDGET ITEMS:

3A) REF. #9481 - APPROPRIATING THE SUM OF \$1,500 TO AMERICAN LEGION FRED ELLER POST 1447, MONSEY, NEW YORK FOR PATRIOTIC OBSERVANCES IN 2013 (LEGS. ALDEN H. WOLFE, PHILIP SOSKIN, ILAN S. SCHOENBERGER, LEGISLATURE) LG#3194

3B) REF. #9473 - AMENDING THE 2012 BUDGET BY APPROVING THE ACCEPTANCE OF ADDITIONAL FUNDS IN THE AMOUNT OF \$63,994 (NCTD) FROM THE NEW YORK STATE OFFICE OF MENTAL HEALTH TO BE DISTRIBUTED TO JAWONIO, INC. AND AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE ALL NECESSARY DOCUMENTS INCLUDING THE APPLICABLE CONTACT AMENDMENT (DEPARTMENT OF MENTAL HEALTH) (\$63,994) (MARY ANN WALSH-TOZER, COMMISSIONER OF MENTAL HEALTH) DL#2012-04692

- 3C) REF. #6704 APPROPRIATION OF FORFEITURE FUNDS REQUESTED BY THE OFFICE OF THE DISTRICT ATTORNEY TO COVER THE COST OF THE VEHICLE LEASES, CONSULTANTS, PROFESSIONAL ORGANIZATION DUES, STAFF TRAINING, ETC. (OFFICE OF THE DISTRICT ATTORNEY) (\$100,000)
(THOMAS ZUGIBE, DISTRICT ATTORNEY) DL#2013-04992

(SUBJECT TO APPROVAL OF PUBLIC SAFETY)

- 3D) REF. #9481 APPROPRIATING THE SUM OF \$1500 TO LEO LADDERS AMERICAN LEGION POST 130, THIELLS, NEW YORK FOR PATRIOTIC OBSERVANCES IN 2013
(HONS. JAY HOOD, JR., MICHAEL M. GRANT, LEGISLATURE) LG#3195

4. AUTHORIZATIONS:

- 4A) REF. #9481 REVENUE ANTICIPATION NOTE RESOLUTION DATED _____, 2013
A RESOLUTION DELEGATING TO THE COMMISSIONER OF FINANCE OF THE COUNTY OF ROCKLAND, NEW YORK, THE POWER TO AUTHORIZE FROM TIME TO TIME DURING FISCAL YEAR 2013, THE SALE AND ISSUANCE OF NOT TO EXCEED \$45,000,000 REVENUE ANTICIPATION NOTES OF SAID COUNTY IN ANTICIPATION OF THE RECEIPT OF COUNTY SALES AND COMPENSATING USE TAX REVENUE, MORTGAGE RECORDING TAX REVENUES, AND REVENUES TO BE RECEIVED FROM THE STATE OF NEW YORK AND FROM THE UNITED STATES OF AMERICA **(STEPHEN DEGROAT, COMMISSIONER, BUDGET & FINANCE) DL#2013-00254**

- 4B) REF. #9481 TAX ANTICIPATION NOTE RESOLUTION DATED _____, 2013
A RESOLUTION DELEGATING TO THE COMMISSIONER OF FINANCE OF THE COUNTY OF ROCKLAND, NEW YORK, THE POWER TO AUTHORIZE FROM TIME TO TIME DURING FISCAL YEAR 2013, THE SALE AND ISSUANCE OF NOT TO EXCEED \$55,000,000 TAX ANTICIPATION NOTES OF SAID COUNTY IN ANTICIPATION OF THE COLLECTION OF REAL ESTATE TAXES LEVIED IN FISCAL YEAR 2013 **(STEPHEN DEGROAT, COMMISSIONER, BUDGET & FINANCE) DL#2013-00313**

5. CONTRACTS/AGREEMENTS:

- 5A) REF. #5614 - APPROVAL OF PAYMENT IN LIEU OF TAXES (PILOT) AGREEMENT BETWEEN BASE REALTY LLC AND CAMBRIDGE SECURITY SEALS, LLC, TOWN OF HAVERSTRAW, NORTH ROCKLAND CENTRAL SCHOOL DISTRICT, COUNTY OF ROCKLAND, AND THE COUNTY OF ROCKLAND INDUSTRIAL DEVELOPMENT AGENCY FOR PROPERTY LOCATED AT ONE CAMBRIDGE PLAZA, POMONA, TOWN OF HAVERSTRAW, IN THE NORTH ROCKLAND CENTRAL SCHOOL DISTRICT, TAX MAP SECTION 25.20, BLOCK 2, LOT 2, AND AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE (DEPARTMENT OF FINANCE) (PILOT) **(S. DEGROAT, COMMISSIONER, FINANCE) DL#2013-00180**

(SUBJECT TO APPROVAL OF ECONOMIC DEVELOPMENT COMMITTEE)

- 5B) REF. #9372 APPROVING AMENDMENT AND EXTENSION TO A LEASE AGREEMENT BETWEEN DEPAULIS ENTERPRISES III, LTD. AND THE COUNTY OF ROCKLAND FOR PREMISES IN CONGERS, NEW YORK FOR AN ADDITIONAL \$33,949.20 FOR AN AMOUNT NOT TO EXCEED \$96,189.40 AND FOR AN ADDITIONAL PERIOD FROM JANUARY 1, 2013 THROUGH DECEMBER 31, 2013 AND AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE (SHERIFF'S DEPARTMENT) (\$96,189.40) **(LOUIS FALCO, SHERIFF) DL#2013-00364**

5C) REF. #5327 APPROVING AMENDMENT AND EXTENSION TO AGREEMENT IN EXCESS OF \$100,000 WITH STEVEN HEUBECK IN THE ADDITIONAL AMOUNT OF \$75,900, FOR A TOTAL CONTRACT SUM NOT TO EXCEED \$227,700 FOR IN-SERVICE AND SPECIALIZED TRAINING COORDINATOR AT THE ROCKLAND COUNTY POLICE & PUBLIC SAFETY ACADEMY UNDER RFP-RC-2010-23 FOR THE PERIOD FROM JANUARY 1, 2013 THROUGH DECEMBER 31, 2013 AND AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE (SHERIFF'S DEPARTMENT) (\$227,700) (LOUIS FALCO, SHERIFF) DL#2013-00149

(SUBJECT TO APPROVAL OF PUBLIC SAFETY)

5D) REF. #6546 APPROVING THE PURCHASES IN EXCESS OF \$100,000 FROM CARDINAL HEALTH 411 INC. D/B/A CARDINAL HEALTH OF PHARMACEUTICALS AND DISTRIBUTION SERVICES FOR THE DEPARTMENT OF HOSPITALS IN AN AMOUNT NOT TO EXCEED \$2,528,000 FOR THE PERIOD JANUARY 1, 2013 THROUGH OCTOBER 31, 2013 IN ACCORDANCE WITH THE NEW YORK STATE CONTRACT NO. PC65140 WITH ALL PURCHASES TO BE MADE BY FORMAL PURCHASE ORDER (DEPARTMENT OF GENERAL SERVICES – DIVISION OF PURCHASING) (\$2,528,000)
(GERRY WALSH, COMMISSIONER DGS-PURCHASING) DL#2012-04198

(ADDITIONAL BACK-UP WITH COMMITTEE CLERK)

(SUBJECT TO APPROVAL OF MULTI-SERVICES)

5E) REF. #8983 APPROVING THE AGREEMENTS WITH VARIOUS LICENSED FOSTER CARE AGENCIES WHICH ARE LISTED ON THE ANNEXED SCHEDULE A AND WHICH MAY EXCEED \$100,000 WITH TOTAL EXPENDITURES NOT TO EXCEED THE DEPARTMENT OF SOCIAL SERVICES 2013 BUDGET FOR FOSTER CARE SERVICES AND AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE THE AGREEMENTS ON BEHALF OF THE COUNTY (DEPARTMENT OF SOCIAL SERVICES)
(SUSAN SHERWOOD, COMMISSIONER SOCIAL SERVICES) DL#2013-00049

(SUBJECT TO APPROVAL OF MULTI-SERVICES)

6. ALLOCATION/RECLASSIFICATION OF POSITIONS:

6A) REF. #8270 ESTABLISHING THREE POSITIONS AND ABOLISHING THREE POSITIONS IN THE DEPARTMENT OF HIGHWAYS
(CHARLES VEZZETTI, SUPERINTENDENT OF HIGHWAY) DL#2013-00361

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| 7. <u>BIDS:</u> | NONE |
| 8. <u>TRANSFER OF FUNDS/OTHERS:</u> | NONE |
| 9. <u>TAX ITEMS:</u> | NONE |
| 10. <u>PUBLIC HEARINGS:</u> | NONE |

11. LOCAL LAWS/HOME RULES:

- 11A) REF. #7070 REQUESTING THAT THE NEW YORK STATE LEGISLATURE INTRODUCE HOME RULE LEGISLATION EXTENDING THE ADDITIONAL FIVE-EIGHTHS OF ONE PERCENT (5/8%) AND EXTENDING THE ADDITIONAL THREE-EIGHTHS OF ONE PERCENT (3/8%) FOR A COMBINED ONE PERCENT (1%) OF SALES AND COMPENSATING USE TAXES SET TO EXPIRE NOVEMBER 30, 2013
(S. DEGROAT, COMMISSIONER FINANCE) DL#2013-00449
- 11B) REF. #7070 REQUESTING THAT THE NEW YORK STATE LEGISLATURE INTRODUCE HOME RULE LEGISLATION AUTHORIZING THE COUNTY OF ROCKLAND TO FINANCE A CERTAIN DEFICIT BY THE ISSUANCE OF BONDS
(S. DEGROAT, COMMISSIONER FINANCE) DL#2013-00079

12. PRESENTATIONS/DISCUSSIONS:

- 12A) REF. #4817 DISCUSSION ITEM: ROCKLAND COMMUNITY COLLEGE (RCC) CHARGEBACKS
(HON. MICHAEL M. GRANT, LEGISLATURE)

13. MISCELLANEOUS: NONE
14. RECEIVE & FILE: NONE
15. CORRESPONDENCE – REVIEW AND DISCUSSION: NONE

"The Rockland County Legislature is committed to full compliance with the Americans with Disabilities Act. To that end, the Legislature is committed to creating an accessible environment for all. To request accommodations that you may require, please call Damaris Alvarez at 845-638-5248 (845-708-7899 for TTY/TDD). Please request these accommodations three (3) days in advance so that we can seek to meet your needs."

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve “execution of all contracts in excess of \$100,000 entered into by the County;” and

WHEREAS, Sufficient funding exists for this amendment to the agreement in the 2013 Adopted Budget of the Office of Fire and Emergency Services; and

WHEREAS, The Committees of the Legislature have met, considered and approved this resolution; now therefore be it

RESOLVED, That the Legislature of Rockland County approves an amendment of an agreement with USA Central Alarm Corporation, 28 Willett Avenue, Port Chester, New York 10573 to provide central alarm services to 44 Control for an additional amount of \$93,0000 for a total contract sum not to exceed \$236,892.16 for the period between January 1, 2013 through December 31, 2013 with two (2) additional one year terms; and be it further

RESOLVED, That the Legislature of Rockland County approves its execution by the County Executive of all necessary documents and instruments necessary to effectuate the purpose and intent of this resolution, subject to the approval of the County Attorney; and be it further

RESOLVED, That sufficient funding exists for this amendment to the agreement in the 2013 Adopted Budget of the Office of Fire and Emergency Services.

AR:lo
2012-04932
1/29/12

DRAFT

RESOLVED, That sufficient funding for these purchases exist in the 2013 Budget of the District Attorney's Office, DA-GA20-E4090.

MB:lo
2012-04936
1/18/13

Schedule A

EAC, Inc is the designated partner for this Department of Justice Adult Drug Court grant. EAC, Inc. will provide the following:

A Senior Advisor who will be responsible for EAC staff supervision, liaison with the Rockland County District Attorney's Office on programmatic and policy issues, and reporting as needed.

A full-time Forensic Case Manager will provide initial assessments and implement the treatment plan in all its aspects, support the client throughout the treatment process, and provide regular reports on client progress. The Forensic Case Manager will liaison with service providers and Assistant District Attorneys.

The part-time Senior Court Liaison for the project will be responsible for directly supervising initial screening and assessment of clients, will liaison with service providers and Assistant District Attorneys. The Senior Court Liaison will oversee day-to-day administration of the EAC staff in Rockland.

An Evaluator will provide data analysis using SPSS; administering the Stakeholder Feedback Survey; training staff on the screening instruments; and preparing the evaluation report.

RESOLVED, That the Legislature of the County of Rockland hereby approves the acceptance of a \$380,000 continuation grant from the New York State Division of Criminal Justice Services (NYSDCJS) for the Crimes Against Revenue Prosecution (CARP) program for the period January 1, 2013 through December 31, 2013, and authorizes the execution of all necessary grant documents by the County Executive, subject to the approval of the County Attorney; and be it further

RESOLVED, That \$172,500 of this grant is for salaries and fringe benefits, as well as grant revenue, that was already budgeted in the Office of the District Attorney's 2013 Budget and, therefore, only remaining \$207,500 can be appropriated and estimated as revenue in this resolution's funding clause; and be it further

RESOLVED, That no County tax dollars (NCTD) are required to accept this grant; and be it further

RESOLVED, That the Commissioner of Finance is hereby authorized to increase the following accounts in the amounts indicated:

GENERAL FUND - 2013

Increase Approp. Acct. (Credit):

A - DA -1165 -GA22 -E3130	Office Supplies	3,000
-E3290	Operational Supplies	4,500
-E4090	Fees for Services, Non-Employee	200,000

Increase Est. Rev. Acct. (Debit):

A - DA -1165 -GA22 -R3380	Public Safety Grant(s)	207,500
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MB:mf
2013-00450
1-25-13

DRAFT

RESOLVED, That no County tax dollars (NCTD) are required to accept this grant; and be it further

RESOLVED, That the Commissioner of Finance is hereby authorized to increase the following accounts in the amounts indicated:

GENERAL FUND - 2013

Increase Approp. Acct. (Credit):

A - DA - 1165 -GA21 -E3030	Medical Supplies	100
-E3130	Office Supplies	5,000
-E3290	Operational Supplies	10,000
-E4020	Rental of Equipment	6,000
-E4040	Travel	10,000
-E4090	Fees for Services, Non-Employee	90,000
-E4140	Conferences & Seminars	10,000
-E4380	Maintenance Agreements	299
-E4600	Telephone	8,500

Increase Est. Rev. Acct. (Debit):

A - DA - 1165 -GA21 -R3380	Public Safety Grant(s)	139,899
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MB:mf
2013-00366
1-22-13

Introduced by:

Referral No. 6760

ORANGE COUNTY

**RESOLUTION NO. OF 2013
APPROVING ACCEPTANCE OF GRANT
IN THE AMOUNT OF \$622,356 [NCTD]
FROM THE NEW YORK STATE OFFICE OF INDIGENT LEGAL SERVICES
TO IMPROVE THE QUALITY OF LEGAL SERVICES PROVIDED TO INDIGENT
CLIENTS UNDER ARTICLE 18-B OF THE COUNTY LAW BY ESTABLISHING A
SOCIAL WORKER COORDINATOR (PUBLIC DEFENDER) POSITION;
ESTABLISHING A RECEPTIONIST ½ POSITION;
REIMBURSING THE COUNTY FOR VARIOUS ITEMS, SERVICES AND
TRAINING COSTS RELATED TO IMPROVING INDIGENT LEGAL SERVICES
FOR A THREE (3) YEAR PERIOD BEGINNING WITH THE
DATE OF SAID POSITIONS BEING FILLED;
RENAMING PREVIOUSLY ESTABLISHED BUDGET LINE 1169 THE
“INDIGENT LEGAL SERVICES PROGRAM”
IN ORDER TO IMPLEMENT THIS GRANT;
AND AUTHORIZING THE EXECUTION OF ALL NECESSARY
GRANT DOCUMENTS BY THE COUNTY EXECUTIVE
[PUBLIC DEFENDER]
(\$622,356)**

WHEREAS, The Public Defender has advised the County Executive and the Legislature of Rockland County that the New York State Office of Indigent Legal Services has awarded his department a grant in the amount of \$622,356; and

WHEREAS, The purpose of this grant is to improve the quality of legal services provided to indigent clients under Article 18-B of the County Law by establishing a Social Worker Coordinator (Public Defender) position who will work directly with these clients to identify and address such issues as housing, mental health, substance abuse, medical and financial problems; establishing a Receptionist ½ position to perform various clerical duties to help improve the overall operation of the Public Defender’s Office; and reimbursing the County for various items, services and training costs related to improving indigent legal services; and

WHEREAS, Although grant was originally awarded for the period June 1, 2012 through May 31, 2015, said NYS office has stated that grant period will be changed to start on the first day of said positions being filled and end three (3) years after said positions are filled; and

WHEREAS, No County tax dollars (NCTD) are required to accept this grant; and

WHEREAS, Previously established budget line 1169 will be renamed the "Indigent Legal Services Program" in order to implement this grant; and

WHEREAS, Budget line 1169 was previously the "Aid to Defense Program" but said program was incorporated into Dept. 1170 (Public Defender) in the 2013 Adopted Budget; and

WHEREAS, It is necessary to appropriate these funds to the proper accounts; and

WHEREAS, The Public Defender is requesting the establishment of two positions in connection with this grant from the New York State Office of Indigent Legal Services; and

WHEREAS, The Department of Personnel has reviewed job descriptions and has made appropriate civil service classifications; and

WHEREAS, The Public Defender is requesting no additional funds to establish these positions as full funding is provided by the New York State Office of Indigent Legal Services; and

WHEREAS, The _____ Committees of the Legislature have met, considered and _____ approved this resolution; now, therefore, be it

RESOLVED, That the Legislature of Rockland County hereby approves the acceptance of a grant in the amount of \$622,356 from the New York State Office of Indigent Legal Services, and authorizes the execution of all necessary grant documents by the County Executive, subject to the approval of the County Attorney; and be it further

RESOLVED, That the purpose of this grant is to improve the quality of legal services provided to indigent clients under Article 18-B of the County Law by establishing a Social Worker Coordinator (Public Defender) position who will work directly with these clients to identify and address such issues as housing, mental health, substance abuse, medical and financial problems; establishing a Receptionist ½ position to perform various clerical duties to help improve the overall operation of the Public Defender's Office; and reimbursing the County for various items, services and training costs related to improving indigent legal services; and be it further

RESOLVED, That although grant was originally awarded for the period June 1, 2012 through May 31, 2015, said NYS office has stated that grant period will be changed to start on the first day of said positions being filled and end three (3) years after said positions are filled; and be it further

RESOLVED, That no County tax dollars (NCTD) are required to accept this grant; and be it further

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RESOLVED, That previously established budget line 1169 will be renamed the "Indigent Legal Services Program" in order to implement this grant; and be it further

RESOLVED, That budget line 1169 was previously the "Aid to Defense Program" but said program was incorporated into Dept. 1170 (Public Defender) in the 2013 Adopted Budget; and be it further

RESOLVED, That one position of Social Worker Coordinator (Public Defender), position # _____ (SG 22 - \$69,697- \$84,257), be hereby established in the Indigent Legal Services Program - 1169; and be it further

RESOLVED, That one position of Receptionist (1/2), position # _____ (SG 6 - \$33,044 - \$39,779) be hereby established in the Indigent Legal Services Program - 1169; and be it further

RESOLVED, That the title of Social Worker Coordinator (Public Defender) be allocated to salary grade 22 (\$69,697 - \$84,257) as provided in the contract between the County of Rockland and the Civil Service Employees' Association; and be it further

RESOLVED, That the Commissioner of Finance is hereby authorized to increase the following accounts in the amounts indicated:

GENERAL FUND - 2013

Increase Approp. Acct. (Credit):

A -PDF -1169 -E1100	Salaries, Employees	348,000
-E1910	Health	126,000
-E1911	Dental	9,000
-E1912	Vision	1,800
-E1920	Retirement	57,000
-E1930	Social Security	20,622
-E1940	Unemployment Insurance	600
-E1950	Workers' Compensation	650
-E1980	MTA Mobility Tax	1,184
-E5060	Program Costs	57,500
-E6600	Appropriation Reserve	42,500

Increase Est. Rev. Acct. (Debit):

A -PDF -1169 -R1211	Allocation-Employee Health Insurance Reimb.	42,500
-R3380	Public Safety Grant(s)	622,356

UNEMPLOYMENT FUND - 2013

Increase Approp. Acct. (Credit):

Q -PER -9050 -E8010	Employee Benefits	600
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Increase Est. Rev. Acct. (Debit):

Q -PER -9050 -R2809	Interfund Revenues	600
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and be it further,

RESOLVED, That should outside funding be reduced and/or eliminated, positions created under this grant shall automatically terminate without further action of this Legislature.

MB:lo
2012-04896
1/29/13

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Introduced by:

Referral No. 6704

RECEIVED

**RESOLUTION NO. OF 2013
APPROVING PURCHASES IN EXCESS OF \$100,000
IN AN AMOUNT NOT TO EXCEED \$233,910
FROM EAC, INC.
FOR MENTAL HEALTH ATI CASE MANAGEMENT
AND EVALUATION PER THE US DEPARTMENT OF JUSTICE'S
JUSTICE AND MENTAL HEALTH COLLABORATION
PROGRAM (JMHCP) GRANT
FOR THE PERIOD FROM
OCTOBER 1, 2012 THROUGH SEPTEMBER 30, 2014
[DISTRICT ATTORNEY'S OFFICE]
(\$233,910)**

WHEREAS, The District Attorney recommends to the County Executive and the Legislature of Rockland County that the County approve the purchases in excess of \$100,000 to Education & Assistance Corp., 50 Clinton Street, Suite 107, Hempstead, New York 11550 for Mental Health ATI Case Management and Evaluation per the US Department of Justice's Justice and Mental Health Collaboration Program (JMHCP) Grant in an amount not to exceed \$233,910 for the period from October 1, 2012 through September 30, 2014; and

WHEREAS, Attached is schedule "A" which will describe what these services provide; and

WHEREAS, Sufficient funding for these purchases exist in the 2013 Budget of the District Attorney's Office, DA-GA19-E4090; and

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve the "execution of all contracts in excess of \$100,000 entered into by the County"; and

WHEREAS, The Committees of the Legislature have met, considered and approved this resolution, now, therefore, be it

RESOLVED, That the Legislature of Rockland County hereby approves the purchases in excess of \$100,000 to Education & Assistance Corp., 50 Clinton Street, Suite 107, Hempstead, New York 11550 for Mental Health ATI Case Management and Evaluation per the US Department of Justice's Justice and Mental Health Program (JMHCP) Grant in an amount not to exceed \$233,910 for the period from October 1, 2012 through September 30, 2014, subject to the approval of the Director of Purchasing; and be it further

RESOLVED, That sufficient funding for these purchases exist in the 2013 Budget of the District Attorney's Office, DA-GA19-E4090.

MB:mf
2013-00330
1/18/13

RECEIVED

Schedule A

EAC, Inc is the designated partner for this Department of Justice/SAMHSA grant. EAC, Inc. will provide the following:

A Senior Advisor to the project at 5% time (supported through matching funds). The Senior Advisor will be responsible for EAC staff supervision, liaison with the Rockland County District Attorney's Office on programmatic and policy issues, and reporting as needed.

The EAC Medical Director who will provide forensic psychiatric services to the project and will provide clinical support and guidance to project staff, including psychiatric consultations on individual cases as needed.

A part-time Psychologist will provide initial assessments and treatment planning, and will provide clinical support to the Forensic Case Manager. Clinical triage for placement in the range of alternative to incarceration programs and membership on the SPOA Committee is included.

A full-time Forensic Case Manager will provide initial assessments and implement the treatment plan in all its aspects, support the client throughout the treatment process, and provide regular reports on client progress. The Forensic Case Manager will liaison with service providers and Assistant District Attorneys.

The part-time Senior Court Liaison for the project will be responsible for directly supervising initial screening and assessment of clients, will liaison with service providers and Assistant District Attorneys. The Senior Court Liaison will oversee day-to-day administration of the EAC staff in Rockland.

Bookkeeping duties, including payroll, purchasing, accounts receivable and payable, claims and fiscal reporting for EAC staff will be covered.

An Evaluator will provide data analysis using SPSS; administering the Stakeholder Feedback Survey; training staff on the screening instruments; and preparing the evaluation report.

Introduced by

DRAFT

Referral No. 9473

**RESOLUTION NO. OF 2013
AMENDING THE 2012 BUDGET
BY APPROVING THE ACCEPTANCE OF ADDITIONAL FUNDS
IN THE AMOUNT OF \$63,994 [NCTD]
FROM THE NEW YORK STATE OFFICE OF MENTAL HEALTH
TO BE DISTRIBUTED TO JAWONIO, INC.
AND AUTHORIZING THE COUNTY EXECUTIVE TO EXECUTE
ALL NECESSARY DOCUMENTS INCLUDING
THE APPLICABLE CONTRACT AMENDMENT
[DEPARTMENT OF MENTAL HEALTH]
(\$63,994)**

WHEREAS, The Commissioner of the Department of Mental Health has advised the County Executive and the Legislature of Rockland County that the New York State Office of Mental Health (OMH) has awarded the County additional funding in the amount of \$63,994 for the calendar year 2012, which is to be distributed to Jawonio, Inc.; and

WHEREAS, The funds are designated as Health Information Technology (HIT) funding related to the New York State Home Health initiative, and they must be used to upgrade current computer technology to ensure secure record sharing between Jawonio and the regional Health Home; and

WHEREAS, The acceptance of these funds will require an amendment in the amount of \$63,994 to the County's 2012 contract with Jawonio, which was approved by Resolution No. 47 of 2012; and

WHEREAS, No County tax dollars [NCTD] are required to accept these funds; and

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve the "execution of all contracts in excess of \$100,000 entered into by the County"; and

WHEREAS, The
met, considered and

Committees of the Legislature have
approved this resolution; now, therefore, be it

DRAFT

RESOLVED, That the Legislature of Rockland County hereby approves the acceptance of additional funds from the New York State Office of Mental Health (OMH) in the amount of \$63,994 for the calendar year 2012, which is to be distributed to Jawonio, Inc. and must be used to upgrade current computer technology to ensure secure record sharing between Jawonio and the regional Health Home, and hereby authorizes the County Executive to execute all necessary documents related to the acceptance of these funds including the applicable contract amendment with Jawonio, subject to the approval of the County Attorney; and be it further

RESOLVED, That no County tax dollars [NCTD] are required to accept this additional funding; and be it further

RESOLVED, That the Commissioner of Finance hereby is authorized to decrease and increase the following accounts in the amounts indicated:

GENERAL FUND - 2012

Increase Approp. Acct (Credit):

A-DMH-4303-E5010	Contract Agency	63,994
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Increase Est. Rev. Acct (Debit):

A-DMH-4303-R3470	State Aid - OMH	63,994
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BB:mf
2012-04692
12-10-12
Rev. 2/5/13

DRAFT

GENERAL FUND - 2013

Increase Approp. Acct. (Credit):

A-DA-1165-FA03-E4500	Forfeiture Funds - Services	100,000
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Increase Approp. Fund Bal. (Debit):

A-UNC-9990-R5990	(Reserved for the DA - Crime Proceeds)	100,000
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MB:lo
2012-04992
1/25/13

DRAFT

Introduced by:

Hon. Jay Hood, Jr., Sponsor
Hon. Michael M. Grant, Sponsor

Referral No. 9481

RESOLUTION NO OF 2013
APPROPRIATING THE SUM OF \$1500 TO LEO LADERS AMERICAN LEGION
POST 130, THIELLS, NEW YORK
FOR PATRIOTIC OBSERVANCES IN 2013

WHEREAS, the Rockland County Legislature has set aside in the 2013 Budget and designated an account for funding of patriotic observances; and

WHEREAS, the Leo Laders American Legion Post 130, Thiells, New York is contracting with the County of Rockland to conduct patriotic observances in 2013, for the citizens of Rockland County in memory of all those who have served and/or died for their Country; and

WHEREAS, the _____ Committee has met, considered and by a _____ vote, approved this resolution; now therefore be it

RESOLVED, that the Legislature of Rockland County hereby appropriates the sum of \$1,500 for providing patriotic observance events in Rockland County in calendar year 2013; and be it further

RESOLVED, that the Commissioner of Finance is hereby authorized to increase and decrease the following accounts in the amounts indicated:

GENERAL FUND

Increase Approp. Acct.:

A-CA-7581-5010	Leo Laders Post 130 P.O. Box 500 West Haverstraw, NY 10993	\$1,500
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Decrease Approp. Acct.:

A-LEG-1010-5042	Patriotic Observances	\$,1500
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LG3195
RM/cs
2/5/13

DRAFT

RESOLUTION No. _____

REVENUE ANTICIPATION NOTE RESOLUTION DATED _____, 2013.

A RESOLUTION DELEGATING TO THE COMMISSIONER OF FINANCE OF THE COUNTY OF ROCKLAND, NEW YORK, THE POWER TO AUTHORIZE, FROM TIME TO TIME DURING FISCAL YEAR 2013, THE SALE AND ISSUANCE OF NOT TO EXCEED \$45,000,000 REVENUE ANTICIPATION NOTES OF SAID COUNTY IN ANTICIPATION OF THE RECEIPT OF COUNTY SALES AND COMPENSATING USE TAX REVENUES, MORTGAGE RECORDING TAX REVENUES, AND REVENUES TO BE RECEIVED FROM THE STATE OF NEW YORK AND FROM THE UNITED STATES OF AMERICA.

DRAFT

WHEREAS, the County of Rockland (the "County"), in the State of New York (the "State"), desires to issue revenue anticipation notes in anticipation of the receipt of County Sales and Compensating Use Tax Revenues, Mortgage Recording Tax Revenues, and revenues to be received from the State of New York and from the United States of America in and for the County in its fiscal year beginning January 1, 2013;

NOW THEREFORE, BE IT RESOLVED by the affirmative vote of the County Legislature of the County of Rockland, New York, as follows:

Section 1. The power to authorize, from time to time during fiscal year 2013, the sale and issuance of not to exceed \$45,000,000 revenue anticipation notes (the "Notes") of the County of Rockland, New York, in anticipation of the receipt of County Sales and Compensating Use Tax Revenues, Mortgage Recording Tax Revenues, and revenues to be received from the State of New York and from the United States of America during the fiscal year of said County during which such sale and issuance shall be authorized or for the fiscal year during which such notes shall be issued and sold (hereinafter, the "Revenues"), is hereby delegated to the Commissioner of Finance, the chief fiscal officer. Such Notes shall be of such terms, form and contents as may

be determined by said Commissioner of Finance, pursuant to the provisions of the Local Finance Law.

Section 2. The County Legislature has ascertained and hereby states that (a) not less than \$45,000,000 of such Revenues remains uncollected as of the date hereof for the fiscal year of the County beginning January 1, 2013; (b) no revenue anticipation notes have previously been issued in anticipation of the collection of such Revenues; (c) no amount has been included in the annual budget of the County for such fiscal year to offset, in whole or in part, any anticipated deficiency in the collection during such fiscal year of Revenues for such fiscal year; (d) the date of maturity of the Notes shall not be extended beyond the close of the second fiscal year succeeding the fiscal year in which such Notes were issued, which is the close of the applicable period provided in Section 25.00 of the Local Finance Law for the maturity of the Notes; and (e) all earnings from the proceeds of the Notes, if any, shall be applied for payment of interest on the Notes when due and payable or for payment of other governmental purposes of the County within the meaning of Section 1.148-7(b)(2) of the United States Treasury Regulations in effect or proposed on the date of the Notes.

Section 3. In the absence of the Commissioner of Finance of the County, the Deputy Commissioner of Finance of the County is hereby authorized to exercise the powers referred to in this resolution.

Section 4. The Notes shall be dated, shall mature, shall be in such denominations and shall bear interest at the rate or rates of interest per annum, determined at the time of the sale of the Notes by the Commissioner of Finance of the County at private or public sale in accordance with the provisions of the Local Finance Law of the State and Chapter 2 of the New York Code of Rules and Regulations. Interest on the Notes shall be paid at maturity.

Section 5. Pursuant to provisions of Section 30.00, 50.00, 56.00 and 60.00, inclusive, of the Local Finance Law, the power to sell, issue and deliver and to prescribe the terms, form and contents of the Notes is hereby delegated to the Commissioner of Finance of the County, as chief fiscal officer of the County. The Commissioner of Finance of the County is hereby authorized to execute the Notes on behalf of the County and the County Clerk is hereby authorized to affix the seal of the County to the Notes and to attest such seal. The Commissioner of Finance of the County is hereby authorized to deliver the Notes to the purchaser thereof upon receipt in full of the purchase price thereof.

Section 6. The County covenants for the benefits of the holders of the obligations authorized herein that it will not make any use of the proceeds of such obligations, any funds reasonably expected to be used to pay the principal of or interest on such obligations or any other funds of the County which would cause the interest on such obligations to become subject to Federal income taxation under the Internal Revenue Code of 1986, as amended (the "Code") (except for the alternative minimum tax imposed on corporations by Section 55 of the Code) or subject the County to any penalties under Section 148 of the Code, and that it will not take any action or omit to take any action with respect to such obligations or the proceeds thereof if such action or omission would cause the interest on such obligations to become subject to Federal income taxation under the Code (except for the alternative minimum tax imposed on corporations by Section 55 of the Code) or subject the County to any penalties under Section 148 of the Code. The foregoing covenants shall remain in full force and effect notwithstanding the defeasance of the obligations or any other provision hereof until the date which is 60 days after the final maturity date or earlier prior redemption date hereof.

Section 7. The County hereby covenants for the benefit of holder(s) of the obligations authorized herein to comply with Rule 15c2-12 of the Securities Exchange Act of 1934, as amended (the "Rule"), and in particular paragraphs (b)(5)(i)(A), (b)(5)(i)(B), and (b)(5)(i)(C) of the Rule, by providing to a nationally recognized municipal securities information repository, as required by the Rule: (1) at least annually, current financial information and (2) notice of any material event; unless an exemption from such paragraphs(s) exists pursuant to the Rule.

Section 8. It is the intent of this County Legislature that this resolution shall remain in full force and effect until December 31, 2013, at which time the powers granted to the Commissioner of Finance shall automatically terminate without further action of this Legislature.

Section 9. The faith and credit of the County hereby is and shall be irrevocably pledged for the punctual payment of the principal of and interest on all obligations authorized and issued pursuant to this resolution as the same shall become due.

Section 10. The Commissioner of Finance of the County is hereby directed to file with the County Legislature certificates reporting the date of the Notes, the date the Notes mature, the rate of interest of the Notes, the purchaser of the Notes and a statement to the effect that the power of the Commissioner of Finance of the County to sell, issue and deliver the Notes is in full force and effect and has not been modified, amended or revoked prior to the delivery of and payment for the Notes, and such other certificates as may be delivered to the purchaser or purchasers of the Notes.

Section 11. This resolution shall take effect immediately.



ORRICK, HERRINGTON & SUTCLIFFE LLP
51 WEST 52ND STREET
NEW YORK, NY 10019-6142

tel 212-506-5000
fax 212-506-5151

WWW.ORRICK.COM

Thomas E. Myers
(212) 506-5212
tmyers@orrick.com

January 17, 2013

VIA E-MAIL (felixm@co.rockland.ny.us)

Ms. G. Mercedes Felix
Paralegal I
County of Rockland
Department of Law
Office of the County Attorney
Allison-Parris County Office Building
11 New Hempstead Road
New City, NY 10956

Re: County of Rockland, New York
Revenue Anticipation Note Resolution and
Tax Anticipation Note Resolution for 2013
Orrick File: 43116-2-4

Dear Mercedes:

We are enclosing a form of a revenue anticipation note resolution and a tax anticipation note resolution.

Please see that each resolution is adopted by at least a majority of the total voting strength of the County Legislature.

In due course, please furnish us with an originally certified copy of each of the enclosed resolutions.

With best wishes,

Very truly yours,

Tom

Thomas E. Myers

/es

cc: Linda Szachewicz Hill (szachewl@co.rockland.ny.us)
Steve Grogan (grogans@co.rockland.ny.us)
Stephen F. DeGroat (degroats@co.rockland.ny.us)
Michael Cappabianca (cappabim@co.rockland.ny.us)
Michael Drummond (drummondm@co.rockland.ny.us)

The adoption of the foregoing resolution was duly put to a vote on roll call, which resulted as follows:

AYES:

NOES:

ABSENT:

EXCUSED:

The resolution was declared adopted.

* * * * *

CERTIFICATE

I, LAURENCE O. TOOLE, Clerk to the Legislature of the County of Rockland, State of New York, HEREBY CERTIFY that the Resolution No. _____ of 2013 contained in the foregoing annexed extract from the minutes of a meeting of the Legislature of said County of Rockland duly called and held on _____, 2013, has been compared by me with the original minutes as officially recorded in my office in the Minute Book of said Legislature and is a true, complete and correct copy thereof and of the whole of said original Resolution, which was duly adopted by the Legislature of the County of Rockland on _____, 2013 and approved by the County Executive on _____, 2013.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County of Rockland this _____ day of _____, 2013.

Laurence O. Toole
Clerk to the Legislature

[SEAL]

EXTRACT OF MINUTES

Meeting of the Legislature of
the County of Rockland, New York

_____, 2013

A regular meeting of the Legislature of the County of Rockland, New York, was held at the Legislative Chambers, Allison-Parris County Office Building, New City, New York, on _____, 2013 at _____ o'clock ____ .M. (Prevailing Time).

The following Legislators were present:

There were absent:

Also present:

* * * *

_____ offered the following resolution and moved
its adoption:



COUNTY OF ROCKLAND
DEPARTMENTS OF BUDGET AND FINANCE

18 New Hempstead Road
New City, New York 10956
(845) 638-5131
Fax (845) 638-5644/5477

C. SCOTT VANDERHOEF
County Executive

STEPHEN F. DEGROAT, CPA
Commissioner of Finance
Budget Director

STEVEN J. GROGAN
Deputy Budget Director

DATE: January 8, 2013

TO: C. Scott Vanderhoef
County Executive

FROM: Stephen F. DeGroat, CPA 
Commissioner of Finance/Budget Director

SUBJECT: Borrowing – RAN and TAN

Please request the Department of Law to prepare a \$45 million Revenue Anticipation Note (RAN) and a \$55 million Tax Anticipation Note (TAN). This \$100 million borrowing is necessary to meet our cash flow requirements for 2013. The borrowing is needed to cover our State and Federal Aid receivables, property tax receivables and our payments to the school districts and villages for their unpaid property tax.

Your prompt attention to this matter is appreciated since we need to have this item on the Budget & Finance Agenda of January 22, 2013.

SFD:tk
Enclosure

PRELIMINARY DRAFT

Projected Cash Flow
Fiscal Year - January 1, 2013 - December 31, 2013
(000's omitted)

MONTH	JAN.	FEB.	MAR.	APRIL	MAY	JUNE	JULY	AUG.	SEPT.	OCT.	NOV.	DEC.	TOTAL
BALANCE (Beginning)	\$18,078	\$30,905	\$105,899	\$135,076	\$85,134	\$58,457	\$4,615	\$40,294	\$46,970	\$36,755	\$37,466	\$27,082	\$18,078
RECEIPTS													
Sales Tax	12,638	13,744	11,160	18,329	12,776	16,873	13,369	13,283	12,972	17,582	13,251	19,024	\$175,000
Property Tax	1,709	107,784	25,693	12,038	1,801	4,204	11,947	4,266	3,042	11,054	5,334	3,767	\$192,638
Property Tax - State Lands	0	0	0	0	0	0	0	0	0	0	0	25,500	\$25,500
Mortgage Tax	318	330	242	259	293	318	375	259	314	232	272	289	\$3,500
Hotel/Motel Tax	250	0	0	250	0	0	250	0	0	0	0	0	\$1,000
Residential Energy Tax	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	\$14,400
State Aid	9,944	8,222	11,193	3,178	4,361	5,904	10,631	15,628	3,941	5,288	5,363	8,381	\$92,033
Federal Aid	9,900	2,006	11,707	1,266	2,002	2,002	5,206	11,234	1,311	4,536	11,669	4,684	\$64,736
Hospital	9,900	4,900	4,900	4,900	4,900	4,900	4,900	4,900	4,900	10,900	4,900	10,900	\$75,800
Other	11,026	3,748	8,972	3,683	4,393	4,180	3,590	3,015	6,322	2,506	5,589	9,851	\$66,874
Note Proceeds	0	0	100,000	0	0	0	35,000	0	30,000	0	0	5,000	\$170,000
TOTAL RECEIPTS	<u>54,721</u>	<u>141,933</u>	<u>175,067</u>	<u>45,102</u>	<u>31,002</u>	<u>39,580</u>	<u>86,567</u>	<u>53,785</u>	<u>64,001</u>	<u>53,549</u>	<u>47,678</u>	<u>88,596</u>	<u>881,482</u>
BALANCE AND RECEIPTS	<u>72,799</u>	<u>172,837</u>	<u>280,967</u>	<u>180,178</u>	<u>116,136</u>	<u>96,038</u>	<u>91,182</u>	<u>94,079</u>	<u>110,971</u>	<u>90,304</u>	<u>85,043</u>	<u>113,672</u>	<u>899,560</u>
DISBURSEMENTS													
Operating Expenses	11,300	11,400	12,500	13,200	12,700	14,500	14,900	14,200	16,600	15,700	15,500	17,500	\$170,000
Payroll	12,800	12,800	12,800	12,800	19,200	12,800	12,300	12,300	12,300	12,300	18,700	12,800	\$163,900
Fringe Benefits	6,600	31,600	6,600	6,600	9,750	6,600	6,600	6,600	6,600	6,600	9,750	34,000	\$137,900
Medicaid	7,884	5,384	5,384	6,730	5,384	5,384	6,730	5,384	6,730	8,384	5,384	9,673	\$78,435
Transfer to Note Payment Account	0	0	100,000	0	0	35,000	0	0	30,000	0	0	0	\$165,000
Debt	3,310	5,754	2,919	2,849	6,957	5,763	374	1,970	1,986	1,652	425	2,587	\$36,546
Property Tax - State Lands	0	0	0	24,976	0	0	0	0	0	0	0	0	\$24,976
Sewer	0	0	0	0	0	0	0	0	0	8,202	8,202	16,404	\$32,808
Solid Waste	0	0	5,688	0	5,688	11,376	0	0	0	0	0	0	\$22,752
RCC	0	0	0	0	0	0	9,984	6,655	0	0	0	0	\$16,639
School Tax (Relief)	0	0	0	25,164	0	0	0	0	0	0	0	0	\$25,164
Village Tax (Relief)	0	0	0	2,725	0	0	0	0	0	0	0	0	\$2,725
TOTAL DISBURSEMENTS	<u>41,894</u>	<u>66,938</u>	<u>145,891</u>	<u>95,044</u>	<u>59,679</u>	<u>91,423</u>	<u>50,888</u>	<u>47,109</u>	<u>74,216</u>	<u>52,838</u>	<u>57,961</u>	<u>92,964</u>	<u>826,845</u>
BALANCE (Ending)	<u>\$30,905</u>	<u>\$105,899</u>	<u>\$135,076</u>	<u>\$85,134</u>	<u>\$58,457</u>	<u>\$4,615</u>	<u>\$40,294</u>	<u>\$46,970</u>	<u>\$36,755</u>	<u>\$37,466</u>	<u>\$27,082</u>	<u>\$18,078</u>	<u>\$18,078</u>

DRAFT

RESOLUTION No. _____

TAX ANTICIPATION NOTE RESOLUTION DATED _____, 2013.

A RESOLUTION DELEGATING TO THE COMMISSIONER OF FINANCE OF THE COUNTY OF ROCKLAND, NEW YORK, THE POWER TO AUTHORIZE, FROM TIME TO TIME DURING FISCAL YEAR 2013, THE SALE AND ISSUANCE OF NOT TO EXCEED \$55,000,000 TAX ANTICIPATION NOTES OF SAID COUNTY IN ANTICIPATION OF THE COLLECTION OF REAL ESTATE TAXES LEVIED IN FISCAL YEAR 2013.

BE IT RESOLVED by the affirmative vote of the County Legislature of the County of Rockland, New York, as follows:

Section 1. The power to authorize the sale and issuance of not to exceed \$55,000,000 tax anticipation notes (the "Notes") of the County of Rockland, New York, in anticipation of the collection of real estate taxes levied in the 2013 fiscal year of said County is hereby delegated to the Commissioner of Finance, the chief fiscal officer.

Section 2. The County Legislature has ascertained and hereby states that (a) at least \$55,000,000 of such real estate taxes remains uncollected as of the date hereof for the fiscal year of the County beginning January 1, 2013; (b) no tax anticipation notes have been issued in anticipation of the collection of such real estate taxes; (c) no amount has been included in the annual budget of the County for such fiscal year to offset, in whole or in part, any anticipated deficiency in the collection during such fiscal year of real estate taxes to be levied for such fiscal year; (d) the date of maturity of the notes shall not be extended beyond five (5) years from the date of their issuance, which is the close of the applicable period provided in Section 24.00 of the Local Finance Law for the maturity of the notes; and (e) all earnings from the proceeds of the Notes, if any, shall be applied for payment of interest on the Notes when due and payable or for

payment of other governmental purposes of the County within the meaning of Section 1.148-7(b)(2) of the United States Treasury Regulations in effect or proposed on the date of the notes.

Section 3. In the absence of the Commissioner of Finance of the County, the Deputy Commissioner of Finance of the County is hereby authorized to exercise the powers referred to in this resolution.

Section 4. The Notes shall be dated, shall mature, shall be in such denominations and shall bear interest at the rate or rates of interest per annum, determined at the time of the sale of the Notes by the Commissioner of Finance of the County at private or public sale in accordance with the provisions of the Local Finance Law of the State and Chapter 2 of the New York Code of Rules and Regulations. Interest on the Notes shall be paid at maturity.

Section 5. Pursuant to provisions of Section 30.00, 50.00, 56.00 and 60.00, inclusive, of the Local Finance Law, the power to sell, issue and deliver and to prescribe the terms, form and contents of the Notes is hereby delegated to the Commissioner of Finance of the County, as chief fiscal officer of the County. The Commissioner of Finance of the County is hereby authorized to execute the Notes on behalf of the County and the County Clerk is hereby authorized to affix the seal of the County to the Notes and to attest such seal. The Commissioner of Finance of the County is hereby authorized to deliver the Notes to the purchaser thereof upon receipt in full of the purchase price thereof.

Section 6. The County covenants for the benefits of the holders of the obligations authorized herein that it will not make any use of the proceeds of such obligations, any funds reasonably expected to be used to pay the principal of or interest on such obligations or any other funds of the County which would cause the interest on such obligations to become subject to Federal income taxation under the Internal Revenue Code of 1986, as amended (the "Code")

(except for the alternative minimum tax imposed on corporations by Section 55 of the Code) or subject the County to any penalties under Section 148 of the Code, and that it will not take any action or omit to take any action with respect to such obligations or the proceeds thereof if such action or omission would cause the interest on such obligations to become subject to Federal income taxation under the Code (except for the alternative minimum tax imposed on corporations by Section 55 of the Code) or subject the County to any penalties under Section 148 of the Code. The foregoing covenants shall remain in full force and effect notwithstanding the defeasance of the obligations or any other provision hereof until the date which is 60 days after the final maturity date or earlier prior redemption date thereof.

Section 7. The County hereby covenants for the benefit of holder(s) of the obligations authorized herein to comply with Rule 15c2-12 of the Securities Exchange Act of 1934, as amended (the "Rule"), and in particular paragraphs (b)(5)(i)(A), (b)(5)(i)(B), and (b)(5)(i)(C) of the Rule, by providing to a nationally recognized municipal securities information repository, as required by the Rule: (1) at least annually, current financial information and (2) notice of any material event; unless an exemption from such paragraphs(s) exists pursuant to the Rule.

Section 8. It is the intent of this County Legislature that this resolution shall remain in full force and effect until December 31, 2013, at which time the powers granted to the Commissioner of Finance shall automatically terminate without further action of this Legislature.

Section 9. The faith and credit of the County hereby is and shall be irrevocably pledged for the punctual payment of the principal of and interest on all obligations authorized and issued pursuant to this resolution as the same shall become due.

Section 10. The Commissioner of Finance of the County is hereby directed to file with the County Legislature certificates reporting the date of the Notes, the date the notes mature, the

rate of interest of the Notes, the purchaser of the notes and a statement to the effect that the power of the Commissioner of Finance of the County to sell, issue and deliver the Notes is in full force and effect and has not been modified, amended or revoked prior to the delivery of and payment for the notes, and such other certificates as may be delivered to the purchaser or purchasers of the Notes.

Section 11. This resolution shall take effect immediately.



ORRICK, HERRINGTON & SUTCLIFFE LLP
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NEW YORK, NY 10019-6142
tel 212-506-5000
fax 212-506-5151
WWW.ORRICK.COM

January 17, 2013

Thomas E. Myers
(212) 506-5212
tmyers@orrick.com

VIA E-MAIL (felixm@co.rockland.ny.us)

Ms. G. Mercedes Felix
Paralegal I
County of Rockland
Department of Law
Office of the County Attorney
Allison-Parris County Office Building
11 New Hempstead Road
New City, NY 10956

Re: County of Rockland, New York
Revenue Anticipation Note Resolution and
Tax Anticipation Note Resolution for 2013
Orrick File: 43116-2-4

Dear Mercedes:

We are enclosing a form of a revenue anticipation note resolution and a tax anticipation note resolution.

Please see that each resolution is adopted by at least a majority of the total voting strength of the County Legislature.

In due course, please furnish us with an originally certified copy of each of the enclosed resolutions.

With best wishes,

Very truly yours,

Tom

Thomas E. Myers

/es

cc: Linda Szachewicz Hill (szachewl@co.rockland.ny.us)
Steve Grogan (grogans@co.rockland.ny.us)
Stephen F. DeGroat (degroats@co.rockland.ny.us)
Michael Cappabianca (cappabim@co.rockland.ny.us)
Michael Drummond (drummondm@co.rockland.ny.us)



COUNTY OF ROCKLAND
DEPARTMENTS OF BUDGET AND FINANCE

18 New Hempstead Road
New City, New York 10956
(845) 638-5131
Fax (845) 638-5644/5477

C. SCOTT VANDERHOEF
County Executive

STEPHEN F. DEGROAT, CPA
Commissioner of Finance
Budget Director

STEVEN J. GROGAN
Deputy Budget Director

DATE: January 8, 2013
TO: C. Scott Vanderhoef
County Executive
FROM: Stephen F. DeGroat, CPA 
Commissioner of Finance/Budget Director
SUBJECT: Borrowing – RAN and TAN

Please request the Department of Law to prepare a \$45 million Revenue Anticipation Note (RAN) and a \$55 million Tax Anticipation Note (TAN). This \$100 million borrowing is necessary to meet our cash flow requirements for 2013. The borrowing is needed to cover our State and Federal Aid receivables, property tax receivables and our payments to the school districts and villages for their unpaid property tax.

Your prompt attention to this matter is appreciated since we need to have this item on the Budget & Finance Agenda of January 22, 2013.

SFD:tk
Enclosure

The adoption of the foregoing resolution was duly put to a vote on roll call, which resulted as follows:

AYES:

NOES:

ABSENT:

EXCUSED:

The resolution was declared adopted.

* * * * *

CERTIFICATE

I, LAURENCE O. TOOLE, Clerk to the Legislature of the County of Rockland, State of New York, HEREBY CERTIFY that the Resolution No. _____ of 2013 contained in the foregoing annexed extract from the minutes of a meeting of the Legislature of said County of Rockland duly called and held on _____, 2013, has been compared by me with the original minutes as officially recorded in my office in the Minute Book of said Legislature and is a true, complete and correct copy thereof and of the whole of said original Resolution, which was duly adopted by the Legislature of the County of Rockland on _____, 2013 and approved by the County Executive on _____, 2013.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the corporate seal of said County of Rockland this _____ day of _____, 2013.

Laurence O. Toole
Clerk to the Legislature

[SEAL]

EXTRACT OF MINUTES

Meeting of the Legislature of
the County of Rockland, New York

_____, 2013

A regular meeting of the Legislature of the County of Rockland, New York, was held at the Legislative Chambers, Allison-Parris County Office Building, New City, New York, on _____, 2013 at _____ o'clock ____ .M. (Prevailing Time).

The following Legislators were present:

There were absent:

Also present:

* * * *

_____ offered the following resolution and moved
its adoption:

PRELIMINARY DRAFT

Projected Cash Flow
Fiscal Year January 1, 2013 - December 31, 2013
(000's omitted)

MONTH	JAN.	FEB.	MAR.	APRIL	MAY	JUNE	JULY	AUG.	SEPT.	OCT.	NOV.	DEC.	TOTAL
BALANCE (Beginning)	\$18,078	\$30,905	\$105,899	\$135,076	\$85,134	\$56,457	\$4,615	\$40,294	\$46,970	\$36,755	\$37,466	\$27,082	\$18,078
RECEIPTS													
Sales Tax	12,638	13,744	11,160	18,529	12,776	16,873	13,369	13,283	12,972	17,582	13,251	19,024	\$175,000
Property Tax	1,709	107,784	25,693	12,038	1,801	4,204	11,947	4,266	3,042	11,054	5,334	3,767	\$192,638
Property Tax - State Lands	0	0	0	0	0	0	0	0	0	0	0	25,500	\$25,500
Mortgage Tax	318	330	242	259	293	318	375	259	314	232	272	289	\$3,500
Hotel/Motel Tax	250	0	0	250	0	0	250	0	0	250	0	0	\$1,000
Residential Energy Tax	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	1,200	\$14,400
State Aid	9,944	8,222	11,193	3,178	4,361	5,904	10,631	15,628	3,941	5,288	5,363	8,381	\$92,033
Federal Aid	7,736	2,006	11,707	1,266	1,278	2,002	5,306	11,234	1,311	4,536	11,669	4,684	\$64,736
Hospital	9,900	4,900	4,900	4,900	4,900	4,900	4,900	4,900	4,900	10,900	4,900	10,900	\$75,800
Other	11,026	3,748	8,972	3,683	4,393	4,180	3,590	3,015	6,322	2,506	5,589	9,851	\$66,874
Note Proceeds	0	0	100,000	0	0	0	35,000	0	30,000	0	0	5,000	\$170,000
TOTAL RECEIPTS	54,721	141,933	175,067	45,102	31,002	39,580	96,567	53,785	64,001	53,549	47,573	88,596	881,482
BALANCE AND RECEIPTS	72,799	172,837	280,967	180,178	116,136	96,038	91,182	94,079	110,971	90,304	85,043	115,679	899,560
DISBURSEMENTS													
Operating Expenses	11,300	11,400	12,500	13,200	12,700	14,500	14,900	14,200	16,600	15,700	15,500	17,500	\$170,000
Payroll	12,800	12,800	12,800	12,800	19,200	12,800	12,300	12,300	12,300	12,300	18,700	12,800	\$163,900
Fringe Benefits	6,600	31,600	6,600	6,600	9,750	6,600	6,600	6,600	6,600	6,600	9,750	34,000	\$137,900
Medicaid	7,884	5,384	5,384	6,730	5,384	5,384	6,730	5,384	6,730	8,384	5,384	9,673	\$78,435
Transfer to Note Payment Account	0	0	100,000	0	0	35,000	0	0	30,000	0	0	0	\$165,000
Debt	3,310	5,754	2,919	2,849	6,957	5,763	374	1,970	1,986	1,652	425	2,587	\$36,546
Property Tax - State Lands	0	0	0	24,976	0	0	0	0	0	0	0	0	\$24,976
Sewer	0	0	0	0	0	0	0	0	0	0	0	0	\$0
Solid Waste	0	0	0	0	0	0	0	0	0	0	0	0	\$0
RCC	0	0	5,688	0	5,688	11,376	9,984	6,655	0	8,202	8,202	16,404	\$32,808
School Tax (Relief)	0	0	0	0	0	0	0	0	0	0	0	0	\$0
Village Tax (Relief)	0	0	0	25,164	0	0	0	0	0	0	0	0	\$25,164
Other	0	0	0	2,725	0	0	0	0	0	0	0	0	\$2,725
TOTAL DISBURSEMENTS	41,894	66,938	145,891	95,044	59,679	91,423	50,888	47,109	74,216	52,838	57,961	92,964	876,845
BALANCE (Ending)	\$30,905	\$105,899	\$135,076	\$85,134	\$56,457	\$4,615	\$40,294	\$46,970	\$36,755	\$37,466	\$27,082	\$18,078	\$18,078

Referral No.

WHEREAS, The _____ Committee of the Legislature has met, considered
and _____ approved this resolution; now therefore be it

RESOLVED, That the Legislature of Rockland County hereby approves a Payment In Lieu Of Taxes (PILOT) agreement with Base Realty LLC and Cambridge Security Seals, LLC, the Town of Haverstraw, the North Rockland Central School District, County of Rockland and County of Rockland Industrial Development Agency for payment of monies in lieu of taxes in the amount set forth in the attached agreement, and authorizes its execution by the County Executive, subject to the approval of the County Attorney, and only upon the agreement of all parties.

AR:dc
2013-00180
1/10/13

Introduced by:

Referral No. 9372

D
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**RESOLUTION NO. OF 2013
APPROVING AMENDMENT AND EXTENSION TO A LEASE AGREEMENT
BETWEEN DEPAULIS ENTERPRISES III, LTD. AND
THE COUNTY OF ROCKLAND FOR PREMISES IN CONGERS, NEW YORK
FOR AN ADDITIONAL \$33,949.20 FOR AN AMOUNT
NOT TO EXCEED \$96,189.40 AND
FOR AN ADDITIONAL PERIOD FROM JANUARY 1, 2013
THROUGH DECEMBER 31, 2013 AND
AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE
[SHERIFF'S DEPARTMENT]
(\$96,189.40)**

WHEREAS, By Resolution No. 391 of 2011 the Legislature of Rockland County approved acceptance of a lease between Depaulis Enterprises III, LTD. and the County of Rockland for premises in Congers, New York consisting of multiple units for use by the Sheriff's Department from March 1, 2011 through December 31, 2011 at a monthly rental of \$2,829.10 for a total annual rental of \$28,291; and

WHEREAS, By Resolution No. 136 of 2012 the Legislature of Rockland County approved acceptance of a lease between Depaulis Enterprises III, LTD. and the County of Rockland for premises in Congers, New York consisting of multiple units for use by the Sheriff's Department from January 1, 2012 through December 31, 2012 at a monthly rental of \$2,829.10 for a total annual rental of \$33,949.20 for an amount not to exceed \$62,240.20 with cancellation permit upon 30 days notice, by County; and

WHEREAS, The Sheriff Department is requesting that the County approve an amendment and extension to the lease agreement with DePaulis Enterprises III, Ltd., P.O. Box 198, Chester, New York 10918, for premises in Congers, New York, consisting of multiple units for use by the Sheriff's Department for an additional amount of \$33,949.20 for an amount not to exceed \$96,189.40 and an additional period from January 1, 2013 through December 31, 2013 at; and

WHEREAS, During the term of the lease, the owner or owner's agent shall have the right to enter the premises at reasonable hours upon twenty-four (24) hours' notice for the purpose of showing the premises to prospective tenants; and at no time shall the owner or owner's agent have access to the "Wire Room" of the demised premises without the written consent of the tenant; tenant shall have the right to withhold consent at its sole discretion; and

WHEREAS, County Law §215(3) requires the Legislature of Rockland County to approve all leases of real property for county purposes; and

WHEREAS, Sufficient funding for this agreement exists in the 2013 Operating Budget of the Sheriff's Department; and

DRAFT

WHEREAS, The Committee of the Legislature has met, considered and approved this resolution; now therefore be it

RESOLVED, That the Legislature of Rockland County hereby approves an amendment and extension to the lease agreement with DePaulis Enterprises III, Ltd., P.O. Box 198, Chester, New York 10918, for premises in Congers, New York, consisting of multiple units, for use by the Sheriff's Department for an additional amount of \$33,949.20 an amount not to exceed \$96,189.40 for the additional period from January 1, 2013 through December 31, 2013 with cancellation permit upon 30 days notice, by County, and authorizes its execution by the County Executive, subject to the approval of the County Attorney; and be it further

RESOLVED, That sufficient funding for this agreement exists in the 2013 Operating Budget of the Sheriff's Department.

MB:mf
2013-00364
1-22-13

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Introduced by:

DRAFT

Referral No. 5327

**RESOLUTION NO. OF 2013
APPROVING AMENDMENT AND EXTENSION
TO AGREEMENT IN EXCESS OF \$100,000 WITH
STEVEN HEUBECK
IN THE ADDITIONAL AMOUNT OF \$75,900, FOR
A TOTAL CONTRACT SUM NOT TO EXCEED \$227,700
FOR IN-SERVICE AND SPECIALIZED
TRAINING COORDINATOR AT
THE ROCKLAND COUNTY POLICE & PUBLIC SAFETY ACADEMY
UNDER RFP-RC-2010-23
FOR THE PERIOD FROM JANUARY 1, 2013 THROUGH DECEMBER 31, 2013
AND AUTHORIZING ITS EXECUTION BY THE COUNTY EXECUTIVE
[SHERIFF'S DEPARTMENT]
(\$227,700)**

WHEREAS, The Director of Purchasing let bids for services at the Rockland County Police and Public Safety Academy under RFP-RC-2012-23 for management, training and consultant services; and

WHEREAS, The award for In-Service and Specialized Training Coordinator was made to Steven Heubeck, 185 Old Kings Highway North, Darren, CT 06820; and

WHEREAS, The County entered into an agreement with Steven Heubeck, 185 Old Kings Highway North, Darren, CT 06820, for said services, on February 16, 2011 in an amount not to exceed \$75,900, for the period from January 1, 2011 through December 31, 2011; and

WHEREAS, By Resolution 167 of 2012 the Legislature approved an amendment and extension with Steven Heubeck in the additional amount of \$35,030 for a total contract sum not to exceed \$110,930 for in-service and specialized training coordinator at the Rockland County Police & Public Safety Academy under RFP-RC-2012-23 fro the period from January 1, 2012 through June 15, 2012; and

WHEREAS, By Resolution 362 of 2012 the Legislature approved an amendment and extension with Steven Heubeck in the additional amount of \$32,111 for a total contract sum not to exceed \$143,041 for in-service and specialized training coordinator at the Rockland County Police & Public Safety Academy under RFP-RC-2012-23 fro the period from June 16, 2012 through November 16, 2012; and

DRAFT

WHEREAS, By Resolution 30 of 2013 the Legislature approved an amendment and extension with Steven Heubeck in the additional amount of \$8,759 for a total contract sum not to exceed \$151,800 for in-service and specialized training coordinator at the Rockland County Police & Public Safety Academy under RFP-RC-2012-23 fro the period from November 16, 2012 through December 31, 2012; and

WHEREAS, The Sheriff recommends that the County enter into an amendment and extension to the agreement with Steven Heubeck, 185 Old Kings Highway North, Darien, CT 06820, for the, in an additional amount of \$75,900, for a total contract sum not to exceed \$227,700 period from January 1, 2013 through December 31, 2013 for In-Service and Specialized Training Coordinator at the Rockland County Police and Public Safety Academy; and

WHEREAS, Sufficient funding for this agreement exists within the 2013 budget of the Sheriff's Department 3120-E4090; and

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve "execution of all contracts in excess of \$100,000 entered into by the County," and

WHEREAS, The Committees of the Legislature have met, considered and approved this resolution, now, therefore, be it

RESOLVED, That the Legislature of Rockland County hereby approves the amendment and extension to the agreement, in excess of \$100,000 Steven Heubeck, 185 Old Kings Highway North, Darien, CT 06820, in an additional amount of \$75,900, for a total contract sum not to exceed \$227,700 for the period from January 1, 2013 through December 31, 2013, for In-Service Specialized Training Coordinator at the Rockland County Police and Public Safety Academy, and authorizes its execution by the County Executive, subject to the approval of the County Attorney, and be it further

RESOLVED, That sufficient funding for this agreement exists in the 2013 Budget of the Sheriff's Department 3120-E4090.

MB:lo
2013-00149
1/25/13

DRAFT

SCHEDULE "A"

In-Service and Specialized Training Coordinator

Steven Heubeck, (the Contractor) will work under a job performance based evaluation, for a fee of \$75,900.00 payable bi-weekly at a rate of \$2,919.23.

Term of the contract: January 1 to December 31, 2013.

The contractor shall receive during this period, time off without penalty for professional conferences and other professional responsibilities as agreed upon between the Contractor, the Director and the Governing Board of the Academy.

Notwithstanding any provisions to the contrary, the Contractor shall be reimbursed for those professional conferences, schools and training sessions, that he is directed to attend by the Governing Board of the Academy.

The Contractor shall be indemnified under Local Law # 10 of 1996.

The contractor shall perform the duties outlined in the attached appendix.

Introduced by:

DRAFT

Referral No. 6546

**RESOLUTION NO. OF 2013
APPROVING THE PURCHASES IN EXCESS OF \$100,000
FROM CARDINAL HEALTH 411 INC. D/B/A CARDINAL HEALTH
OF PHARMACEUTICALS AND DISTRIBUTION SERVICES
FOR THE DEPARTMENT OF HOSPITALS
IN AN AMOUNT NOT TO EXCEED \$2,528,000
FOR THE PERIOD JANUARY 1, 2013 THROUGH OCTOBER 31, 2013
IN ACCORDANCE WITH NEW YORK STATE CONTRACT NO. PC65140
WITH ALL PURCHASES TO BE MADE BY FORMAL PURCHASE ORDER
[DEPARTMENT OF GENERAL SERVICES - DIVISION OF PURCHASING]
(\$2,528,000)**

WHEREAS, The New York State Office of General Services (NYS OGS) has issued an award to Cardinal Health 411, Inc. d/b/a Cardinal Health ("Cardinal Health"), 6012 Molloy Road, Syracuse, New York 13211, under NYS OGS Contract No. PC65140 to provide pharmaceuticals and distribution services to all agencies and other entities authorized to use state contracts for the period June 25, 2010 through October 31, 2013; and

WHEREAS, The County is authorized to use New York State contracts for the procurement of such supplies and services; and

WHEREAS, The contract term shall be extended automatically when New York State extends, renews or enters into a new contract with Cardinal Health for these services, provided the contract meet the needs of the County of Rockland and the Department of Hospitals/Pharmacy; and

WHEREAS, Encumbrances and expenditures shall be made against the proper fiscal year appropriations; and

WHEREAS, The cost of this contract is affected by the census at Summit Park Hospital and any increases in the wholesale cost of pharmaceuticals during the contract year; and

WHEREAS, The Director of Purchasing requests that the County Executive and the Legislature of Rockland County approve the purchases of pharmaceuticals and distribution services for the Department of Hospitals in a total sum not to exceed \$2,528,000 from Cardinal Health in accordance with the terms and conditions specified in the NYS OGS Contract No. PC65140 for the period January 1, 2013 through October 31, 2013; and

WHEREAS, All purchases shall be made by the Director of Purchasing by formal purchase order, encumbering the funds in advance of the services provided; and

DRAFT

WHEREAS, Sufficient funding for these purchases is provided for in the 2013 Budget of the Department of Hospitals in Account No. HSP E910-E3150; and

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve the "execution of all contracts in excess of \$100,000 entered into by the County"; and

WHEREAS, The Committees of the Legislature have met, considered and approved this resolution; now, therefore, be it

RESOLVED, That the Legislature of Rockland County hereby approves the purchases in excess of \$100,000 from Cardinal Health 411, Inc. d/b/a Cardinal Health ("Cardinal Health"), 6012 Molloy Road, Syracuse, New York 13211, of pharmaceuticals and distribution services for the Department of Hospitals in an amount not to exceed \$2,528,000 for the period January 1, 2013 through October 31, 2013 in accordance with the terms and conditions specified in NYS OGS Contract No. PC65140; and be it further

RESOLVED, That sufficient funding for these purchases is provided for in the 2013 Budget of the Department of Hospitals in Account No. HSP E910-E3150.

BB: mf
2012-04198
1-18-13

DRAFT

WHEREAS, Local Law No. 18 of 1996 provides for the Legislature to approve the "execution of all contracts in excess of \$100,000 entered into by the County"; and

WHEREAS, Sufficient funding for these agreements exists in the 2013 Budget of the Department of Social Services, budget lines 6109, 6119 and 6123; and

WHEREAS, The Committees of the Legislature have met, considered and approved this resolution, now, therefore, be it

RESOLVED, That the Legislature of Rockland County hereby approves the agreements to provide the services required by Social Services Law § 398 with the licensed foster care agencies, which are listed on the annexed Schedule A and which may be in excess of \$100,000, at per diem rates set by the New York State Office of Children and Family Services and the New York State Department of Education, and authorizes the County Executive to execute the agreements, subject to the approval of the County Attorney; and be it further

RESOLVED, That sufficient funding for these agreements exists in the 2013 Budget of the Department of Social Services, budget lines 6109, 6119 and 6123.

LG:lo
2013-00049
1/8/13

FOSTER CARE AGENCIES
2013

1. ABBOTT HOUSE
2. ASTOR SERVICES FOR CHILDREN & FAMILIES
3. BERKSHIRE FARM CENTER & SERVICES FOR YOUTH
4. CARDINAL MC CLOSKEY SERVICES
5. CHILDREN'S HOME OF KINGSTON
6. CHILDREN'S HOME OF POUGHKEEPSIE
7. CHILDREN'S HOME OF WYOMING CONFERENCE
8. COMMUNITY MATERNITY SERVICES
9. DOWNEY SIDE, INC.
10. FAMILY SERVICES OF WESTCHESTER
11. GRAHAM WINDHAM
12. GREEN CHIMNEYS CHILDREN'S SERVICES
13. HILLCREST EDUCATIONAL CENTERS, INC.
14. HILLSIDE CHILDREN'S CENTER
15. JEWISH BOARD OF FAMILY AND CHILDREN'S SERVICES
16. JEWISH CHILD CARE ASSOCIATION
17. JULIA DYCKMAN ANDRUS MEMORIAL, INC.
18. KIDS PEACE NATIONAL CENTERS OF NORTH AMERICA, INC.
19. KIDS PEACE NATIONAL CENTERS, INC.
20. LASALLE SCHOOL FOR BOYS
21. LINCOLN HALL
22. MOUNTAIN LAKE CHILDREN'S RESIDENCE, INC.
23. OCCUPATIONS, INC.
24. OHEL CHILDREN'S HOME AND FAMILY SERVICES
25. PARSONS CHILD AND FAMILY CENTER
26. ST. ANNE INSTITUTE
27. ST. CHRISTOPHER'S INC.
28. ST. JOHN BOSCO CHILD AND FAMILY SERVICES
29. THE CHARLTON SCHOOL
30. THE CHILDREN'S VILLAGE, INC.
31. THE DEVEREUX FOUNDATION
32. THE LAKE GROVE SCHOOL
33. THE WILLIAM GEORGE AGENCY FOR CHILDREN'S SERVICES, INC.
34. VANDERHEYDEN HALL, INC.
35. YONKERS RESIDENTIAL CENTER, INC.
36. YOU GOTTA BELIEVE/THE OLDER CHILD ADOPTION AND PERMANENCY MOVEMENT, INC.



COUNTY OF ROCKLAND
DEPARTMENT OF SOCIAL SERVICES

The Dr. Robert L. Yeager Health Center
Building L - Sanatorium Road
P.O. Box 307

Pomona, New York 10970-0307
Telephone: (845) 364-2000

C. SCOTT VANDERHOEF
County Executive

SUSAN SHERWOOD
Commissioner

LEGAL DEPARTMENT
Direct Line: (845) 364-3213
Fax: Legal: (845) 364-3013
Not For Service of Process

MEMORANDUM

DATE: December 21, 2012
TO: C. Scott Vanderhoef
County Executive
FROM: Carol L. Barbash, Esq.
Director of Legal Services
Carol L. Barbash
SUBJECT: Foster Care Agencies—Contracts - 2013

On behalf of Commissioner Susan Sherwood, I am requesting that a resolution of the Rockland County Legislature be requested to authorize execution of contracts with the attached list of foster care agencies, for total expenditures not to exceed that appropriated in the 2013 budget for foster care services (Budget Lines: 6109, 6119, and 6123.).

The Commissioner is required by law to care for neglected, abused and abandoned children as well as children alleged to be or adjudicated persons in need of supervision or juvenile delinquents who are placed in care by the Family Court (Social Services Law Section 398). Services are provided to such children through various licensed foster care agencies. Children are placed in a particular agency based upon the child's service needs, and the ability of the foster care agency to meet those needs. Payments to each foster care agency are made on a per diem basis, for the individual child, at rates set by the New York State Office of Children and Family Services and the New York State Department of Education.

It is requested that authority to enter into these contracts be authorized, although expenditures to a single agency may exceed \$100,000.00. A schedule of the foster care agencies is attached.

If you have any questions, please do not hesitate to contact me.

/clb
Enclosure

cc: Susan Sherwood, Commissioner
Noreen Kelly, Accounting

Rockland County

DEC 26 2012

EXECUTIVE'S OFFICE

FOSTER CARE AGENCIES
2013

1. ABBOTT HOUSE
2. ASTOR SERVICES FOR CHILDREN & FAMILIES
3. BERKSHIRE FARM CENTER & SERVICES FOR YOUTH
4. CARDINAL MC CLOSKEY SERVICES
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6. CHILDREN'S HOME OF POUGHKEEPSIE
7. CHILDREN'S HOME OF WYOMING CONFERENCE
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34. VANDERHEYDEN HALL, INC.
35. YONKERS RESIDENTIAL CENTER, INC.
36. YOU GOTTA BELIEVE/THE OLDER CHILD ADOPTION AND PERMANENCY MOVEMENT, INC.

Introduced by:

J F W R D

Referral No. 8270

**RESOLUTION NO. OF 2013
ESTABLISHING THREE POSITIONS
AND ABOLISHING THREE POSITIONS
IN THE DEPARTMENT OF HIGHWAYS**

WHEREAS, The Superintendent of Highways has requested the establishment of three positions in the Department of Highways in order to provide services in an effective manner, and

WHEREAS, The Department of Personnel has reviewed job descriptions for three positions and had made appropriate civil service classifications, and

WHEREAS, The Superintendent of Highways is requesting no additional funds to establish these positions, and

WHEREAS, The Committee of this Legislature has met, reviewed and approved the establishment of these positions, now, therefore be it

RESOLVED, That the following three positions be established in the Department of Highways – 5110

<u>Title</u>	<u>Salary</u>	<u>Position #</u>
Motor Equipment Operator I	UPSEU grade 2 \$44,766- \$56,611	_____
Motor Equipment Operator I	UPSEU grade 2 \$44,766 - \$56,611	_____
Motor Equipment Operator I	UPSEU grade 2 \$44,766 - \$56,611	_____

and be it further

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RESOLVED, That the following three positions be hereby concurrently abolished:

<u>Title</u>	<u>Salary</u>	<u>Position #s</u>
Laborer II (3 positions)	UPSEU grade 2 \$44,766 - \$56,611	0410, 0417, 0420

LG:lo
2013-00361
1/22/13

DRAFT

Introduced by
Hon.

Referral No. 7070

**RESOLUTION NO. OF 2013
REQUESTING THAT THE NEW YORK
STATE LEGISLATURE INTRODUCE
HOME RULE LEGISLATION EXTENDING
THE ADDITIONAL FIVE-EIGHTHS OF ONE PERCENT (5/8%) AND
EXTENDING THE ADDITIONAL THREE-EIGHTHS OF ONE PERCENT
(3/8%) FOR A COMBINED ONE PERCENT (1%) OF
SALES AND COMPENSATING USE TAXES SET TO
EXPIRE NOVEMBER 30, 2013**

WHEREAS, By Resolution 139 of 2003, the Legislature of Rockland County requested home rule relief to extend the authorization to impose an additional five-eighths (5/8) of one (1%) percent sales and compensating use taxes; and

WHEREAS, Said five-eighths of one percent (5/8%) sales and compensating use taxes is shared with the towns and villages of Rockland County, which receive one-eighth of one percent (1/8%) and the County which receives one-half (1/2) of one (1%) percent; and

WHEREAS, By Resolution 302 of 2003 the Legislature of Rockland County requested enactment of said Home Rule Legislation; and

WHEREAS, By Resolution No. 444 of 2003, the County of Rockland imposed the five-eighths of one percent (5/8%) additional sales tax, which began on March 1, 2002 and expired on November 30, 2005; and

WHEREAS, By Resolution No. 444 of 2003, the Legislature of Rockland County extended the imposition of the County's sales tax at three (3%) percent until February 28, 2007; and

WHEREAS, By Resolution No. 423 of 2005, the Legislature of Rockland County extended the imposition of the County's additional sales tax of five-eighths of one percent (5/8%) until November 30, 2007; and

WHEREAS, By Resolution No. 57 of 2007, the Legislature of Rockland County extended the imposition of the County's additional sales tax of five-eighths of one (5/8%) percent until November 30, 2009; and

WHEREAS, In the law enacted by the State Legislature, the additional sales tax of five-eighths of one percent ($5/8\%$) was set to expire on November 30, 2009; and

WHEREAS, By Resolution No. 57 of 2007, the Legislature of Rockland County imposed a base sales tax at three (3%) percent for an indefinite period; and

WHEREAS, By Resolution No. 57 of 2007, the County of Rockland imposed the three-eighths of one percent ($3/8\%$) additional sales tax, which began on March 1, 2002 was set to expire on November 30, 2009; and

WHEREAS, By Resolution No. 419 of 2009 the Legislature of the County of Rockland imposed a tax of three percent (3%), and for the period originally commencing March 1, 2002 extended the imposition of an additional tax of five-eighths of one percent ($5/8\%$) to November 30, 2011; and further extended the imposition of an additional three-eighths of one percent ($3/8\%$) for the period originally commencing on March 1, 2007 and ending November 30, 2011; and

WHEREAS, By Resolution No. No. 394 of 2011 the Legislature of the County of Rockland imposed a tax of three percent (3%), and for the period originally commencing March 1, 2002 extended the imposition of an additional tax of five-eighths of one percent ($5/8\%$) to November 30, 2013; and further extended the imposition of an additional three-eighths of one percent ($3/8\%$) for the period originally commencing March 1, 2007 and ending November 30, 2013; and

WHEREAS, It is requested that the additional one percent (1%) rate be effective from December 1, 2013 through November 30, 2015, which is the date all additional sales tax rates throughout New York State will expire; and

WHEREAS, It has been determined that an extension of the five-eighths of one percent ($5/8\%$) is required to keep that portion of the sales tax in effect; and

WHEREAS, It has been determined that an extension of the three-eighths of one percent ($3/8\%$) is required to keep that portion of the sales tax in effect; and

WHEREAS, In order to increase the local portion of the sales tax above three percent (3%), a Home Rule request is required; and

WHEREAS, The Rockland County Legislature must by home rule, request that the authorization to impose said additional taxes be extended to continue past November 30, 2013; and

WHEREAS, The County Executive has requested that the Legislature of Rockland County request that the New York State Legislature introduce legislation, in the model to be provided by the New York State Tax Department, which would permit Rockland County to continue to impose the current five-eighths of one percent (5/8%), and the three eighths of one percent (3/8%) for a combined total of one percent (1%) to be used for the general expenses of the County of Rockland to take effect December 1, 2013 or as soon thereafter as possible; and

WHEREAS, It is further requested that the thirty-three and one-third (33-1/3%) percent of the net collections from the additional rate of three-eighths of one percent (3/8%) from December 1, 2013 through November 30, 2015 shall be allocated and distributed to towns and villages with police departments on the basis of the number of full-time equivalent police officers employed by each police department and shall not be used for salaries heretofore or hereafter negotiated; and

WHEREAS, The _____ Committee of the Legislature has met, considered and _____ approved this resolution; now therefore be it

RESOLVED, That the Legislature of Rockland County hereby requests that the New York State Legislature introduce Home Rule legislation which would permit the County of Rockland to continue to impose the current five-eighths of one percent (5/8%), and the three eighths of one percent (3/8%) for a combined total of one percent (1%) to be used for the general expenses of the County of Rockland to take effect December 1, 2013 or as soon thereafter as possible; and be it further

RESOLVED, That the Legislature of Rockland County hereby requests that the New York State Legislature introduce Home Rule legislation which would extend the authorization for the County to impose the additional five-eighths of one percent (5/8%) sales and compensating sales taxes, beyond its current expiration date of November 30, 2013 for a period of two (2) years, to be shared by the County of Rockland, receiving 1/2 of 1% and the towns and villages of Rockland County receiving 1/8 of 1%; and be it further

RESOLVED, That the Legislature of Rockland County hereby requests that the New York State Legislature introduce Home Rule legislation which would extend the authorization for the County to impose the additional three eighths of one percent (3/8%) sales and compensating sales taxes, beyond its current expiration date of November 30, 2013 for a period of two (2) years, and County of Rockland shall allocate and distribute thirty-three and one-third (33-1/3%) percent of the net collections from such additional rate from December 1, 2013 through November 30, 2015; said monies shall be allocated and distributed to towns and villages with police departments on the basis of the number

of full-time equivalent police officers employed by each police department and shall not be used for salaries heretofore or hereafter negotiated; and be it further

RESOLVED, That the Clerk to the Legislature be and he is hereby authorized and directed to send a certified copy of this resolution to the Hon. Andrew M. Cuomo, Governor of the State of New York; Hon. David Carlucci, New York State Senator, Hon. William Larkin, State Senator, Hon. Kenneth P. Zebrowski, Hon. Ellen C. Jaffee, Hon. James Skoufis, Hon. Ann G. Rabbitt, Members of the New York State Assembly; the President Pro Tem of the New York State Senate; the Speaker of the New York State Assembly; the Majority and Minority Leaders of the New York State Senate and Assembly; and to such other persons as the Clerk in his discretion, may deem proper in order to effectuate the purpose of this resolution.

AFR:dc
2013-00449
1/25/13
r.2/5/13

AN ACT to authorize the county of Rockland to finance a certain deficit by the issuance of bonds

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY,
DO ENACT AS FOLLOWS:

Section 1. The county of Rockland is hereby authorized to issue bonds on or before December 31, 2014 in an aggregate principal amount not to exceed ninety-six million dollars (\$96,000,000) for the specific object or purpose of liquidating the projected accumulated deficit in the general fund of such county for the fiscal year ended December 31, 2012. In anticipation of the issuance of the bonds authorized to be issued by this act, the issuance and sale of bond anticipation notes are hereby authorized.

S 2. Notwithstanding any provision of this act to the contrary, the county of Rockland shall not issue any bonds for the purpose of liquidating the projected deficits unless and until the amounts thereof are reviewed and determined by the state comptroller. The county of Rockland shall prepare a report detailing the amount and cause of such deficits and submit such report together with the county's independent audit report for its last completed fiscal year, if any such audit report has been or is to be prepared, and such other information as the state comptroller may deem necessary, to the state comptroller, as well as the chairs of the senate finance committee and the assembly ways and means committee, at least thirty days prior to the issuance of any bonds pursuant to this act. Within thirty days after receiving such report, the state comptroller shall perform such reviews as may be necessary to determine the amount of such deficits and report the same to the chairs of the senate finance committee and the assembly ways and means committee, the county executive and the legislature of the county of Rockland. Bonds to liquidate each such deficit shall not be issued in an amount exceeding such deficit as determined by the state comptroller. Nothing provided in this act shall prevent the county of Rockland from authorizing the issuance of bonds or bond anticipation notes, and issuing bond

anticipation notes, prior to the determination of the state comptroller of the amount of such deficits. In the event bond anticipation notes are issued in an amount in excess of the amounts determined by the state comptroller, the county of Rockland shall redeem from funds other than the proceeds of the bonds or bond anticipation notes, such bond anticipation notes in the amount by which the amount of bond anticipation notes exceeds the amount of such deficits as determined by the state comptroller.

S 3. It is hereby determined that the financing of the deficits hereinbefore described is a purpose of the county of Rockland for which indebtedness may be incurred, the period of probable usefulness of which is hereby determined to be twenty years, computed from the date of such bonds or from the date of the first bond anticipation note issued in anticipation of the sale of such bonds, whichever date is earlier. Such bonds and/or bond anticipation notes shall be general obligations of the county of Rockland to which the faith and credit of the county is pledged, and the county shall make an annual appropriation sufficient to pay the principal of and interest on such obligations as the same shall become due.

S 4. Except as provided in this act, the provisions of the local finance law shall be applicable to the authorization, sale and issuance of the bonds and bond anticipation notes authorized to be issued by this act.

S 5. (a) For each fiscal year occurring during the time bonds and/or bond anticipation notes issued pursuant to this act are outstanding, the county executive of the county of Rockland or such other officer or officers as he or she may designate shall prepare a quarterly report of summarized budget data depicting overall trends of actual revenues and budget expenditures for the entire budget rather than individual line items. Such budgetary reports shall compare revenue estimates and appropriations as set forth in such budget with the actual revenues and expenditures made to date. All such quarterly budgetary reports shall be accompanied by recommendations of the county executive setting forth any remedial action necessary or desirable to resolve any unfavorable budget variance including the over-estimation of revenues

and the under-estimation of expenditures. Each such quarterly budgetary report shall be completed within thirty days after the end of each quarter and shall be submitted to the legislature of the county of Rockland, the director of the division of the budget, the state comptroller, and the chairs of the senate finance committee and the assembly ways and means committee.

(b) For each fiscal year occurring during the time bonds and/or bond anticipation notes issued pursuant to this act are outstanding, the county executive of the county of Rockland or such other officer or officers as he or she may designate shall also prepare a quarterly trial balance of general and special fund ledger accounts. Each such quarterly trial balance shall be prepared in accordance with generally accepted accounting principles. Each such quarterly trial balance shall be completed within thirty days after the end of each quarterly period and shall be submitted to each member of the legislature of the county of Rockland, the director of the division of the budget, the state comptroller, and the chairs of the senate finance committee and the assembly ways and means committee.

(c) For the fiscal year beginning January 1, 2013 and for each fiscal year thereafter during which such debt or any debt incurred to refund such debt is outstanding, the county executive of the county of Rockland or other officer or officers responsible for the preparation of the tentative budget shall submit the tentative budget for the next succeeding fiscal year to the state comptroller simultaneously with submission of such budget to the county legislature on or before the twenty-third day of October in accordance with the charter of the county. Submission of such budget to the state comptroller in electronic form shall be sufficient for compliance with this section. The state comptroller shall examine such proposed budget and make such recommendations as deemed appropriate thereon to the county legislature no more than twenty days after the date of submission of such budget to the state comptroller. Such recommendations shall be made after examination into the estimates of revenues and expenditures of the county of Rockland. The legislature of the county of Rockland, no later than five days prior to the

adoption of the budget, shall review any such recommendations and may make adjustments to its proposed budget consistent with any recommendations made by the state comptroller. Any recommendations that the legislature rejects shall be explained in writing to the state comptroller. The action or inaction of the state comptroller under this section shall not be construed to affect the legal validity of the budget of the county of Rockland nor to affect the powers or duties of the county of Rockland with respect to the local budget; provided, however, that the county of Rockland may not issue bonds for any object or purpose unless and until adjustments to its proposed budget, consistent with any recommendations made by the state comptroller, are made, or any such recommendations that are rejected have been explained in writing to the state comptroller.

(d) Within ninety days of the issuance of any debt pursuant to this act and for each fiscal year thereafter during which such debt or any debt incurred to refund such debt is outstanding, the county executive of the county of Rockland or other officer or officers responsible for the preparation of the tentative budget of the county of Rockland, within thirty days after the final adoption of the budget for the next succeeding fiscal year, shall prepare a three-year financial plan covering the next succeeding fiscal year and the two fiscal years thereafter. The financial plan shall, at a minimum, contain the information required to be provided in a three-year financial plan as prescribed by subdivision 10 of section 54 of the state finance law. Copies of the financial plan shall be provided to the county executive and chief fiscal officer of the county of Rockland, the director of the budget, the state comptroller, the chair of the assembly ways and means committee and the chair of the senate finance committee. This financial plan requirement shall not apply to the extent the county of Rockland is subject to a different multi-year financial plan requirement under state or local law.

(e) For each fiscal year occurring during the time bonds and/or bond anticipation notes issued pursuant to this act are outstanding, the chief fiscal officer of the county of Rockland shall notify the state comptroller at least fifteen days prior to the issuance of any bonds or notes or

entering into any installment purchase contract and the state comptroller may review and make recommendations regarding the affordability to the county of Rockland of any such proposed issuance or contract. The state comptroller may require the submission by the county of Rockland of such information as the state comptroller shall deem necessary to complete his or her review.

S 6. To facilitate the marketing of the bonds and/or bond anticipation notes authorized to be issued by this act, the county of Rockland may, notwithstanding any limitations on the private sales of bonds otherwise provided by law, and subject to approval by the state comptroller of the terms and conditions of such sales, arrange for the underwriting or other sale of its bonds and/or bond anticipation notes at private sale upon negotiated terms and conditions which may include reasonable underwriting or placement fees or discounts. The costs of such underwriting or sale shall be deemed preliminary costs for purposes of section 11.00 of the local finance law.

S 7. If any clause, sentence, paragraph, section or part of this act shall be adjudged by any court of competent jurisdiction to be invalid, such judgment shall not affect, impair, or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, section, or part thereof directly involved in the controversy in which such judgment shall have been rendered.

S 8. This act shall take effect immediately.



COUNTY OF ROCKLAND
DEPARTMENTS OF BUDGET AND FINANCE

18 New Hempstead Road
New City, New York 10956
(845) 638-5131
Fax (845) 638-5644/638-5477

C. SCOTT VANDERHOEF
County Executive

STEPHEN F. DeGROAT, CPA
Commissioner of Finance
Budget Director

STEVEN J. GROGAN
Deputy Budget Director

DATE: January 7, 2013

TO: C. Scott Vanderhoef
County Executive

FROM: Stephen DeGroat, Budget Director/Commissioner of Finance 
Steven Grogan, Deputy Budget Director 

SUBJECT: Deficit Financing Bond – Home Rule Request

The term of the previous State deficit financing bond legislation for Rockland County has expired. Therefore, we must reintroduce new State legislation by ratifying a new home rule request by our County Legislature which will then be subsequently submitted to the State Legislature.

The County's last audited certified financial statements for 2011 show an unreserved deficit fund balance of \$96,000,000. Until the 2012 audit is finalized, the amount above is the only certified figure available.

Upon your approval, please forward this request to the Department of Law for a formal referral to the County Legislature for a home rule request for a deficit financing bond in the amount of \$96,000,000.

If you have any questions please do not hesitate to contact us.

SD:tk